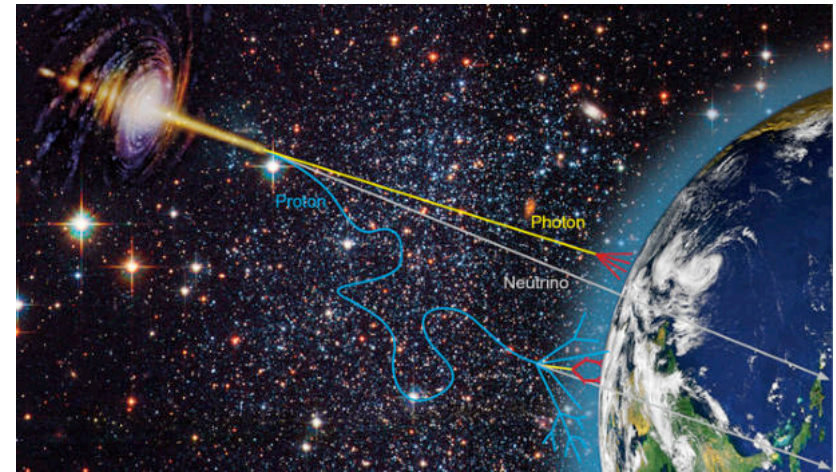
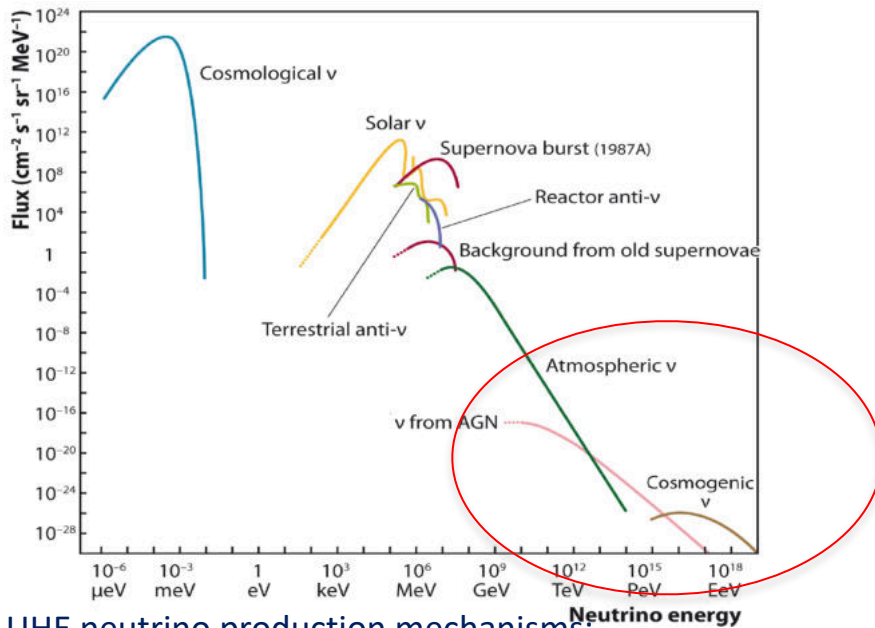


HE neutrino detection with acoustic and radio techniques: a state of the art summary

Giorgio Riccobene
INFN –LNS

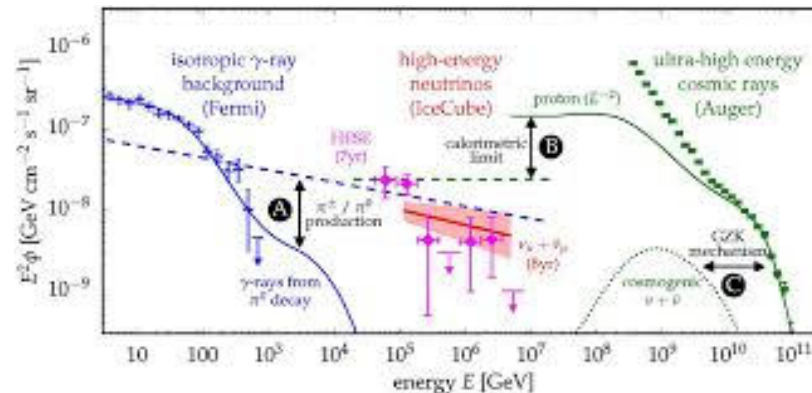
- The Physics Case
- Radio Detection
- Acoustic Detection
- Conclusions



Sources: AGNs, SNR,...

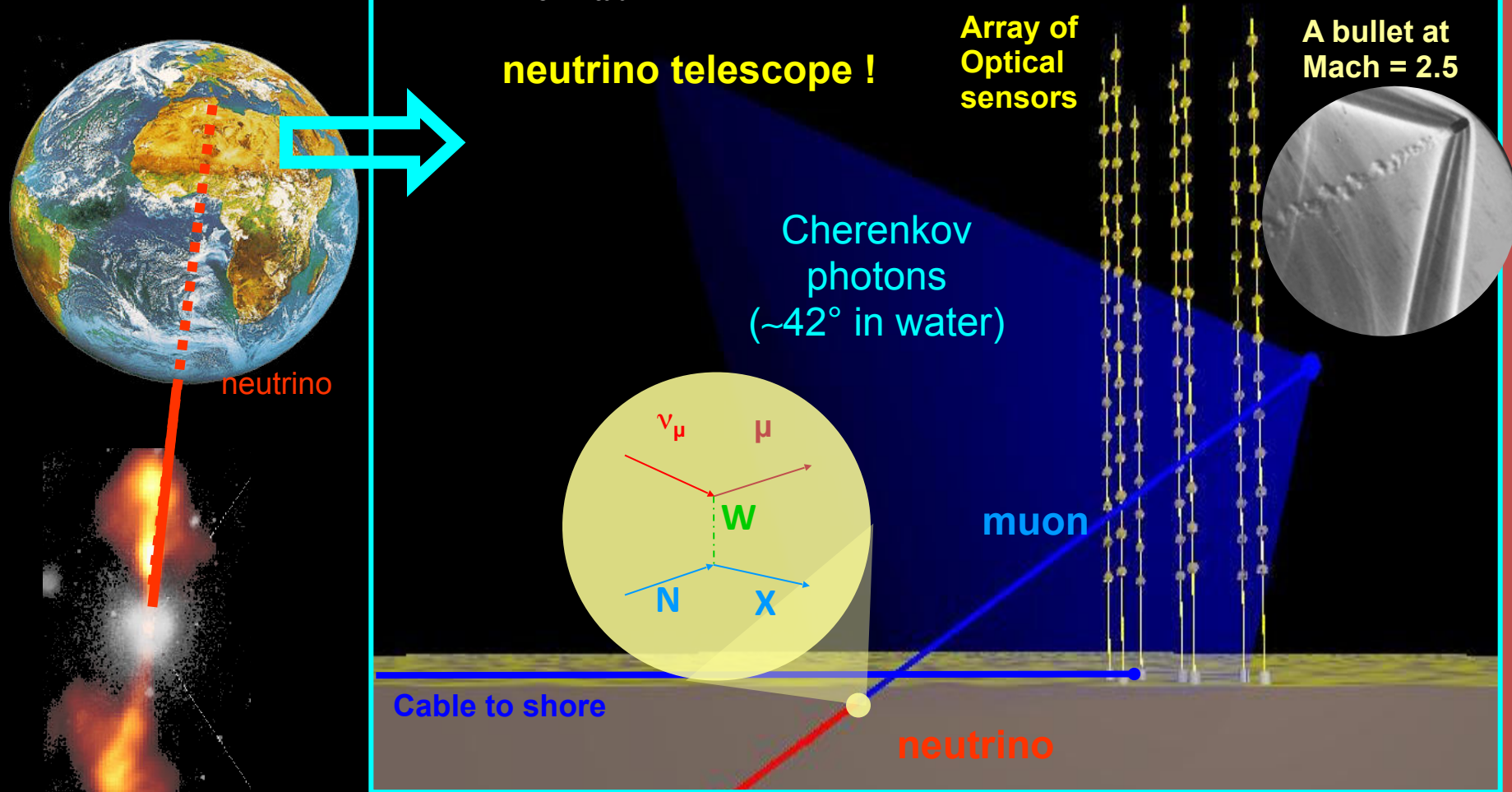
UHE neutrino production mechanisms:

- 1) "Fermi" proton acceleration
- 2) proton-proton, proton-gamma interaction in ambient source or during journey to Earth (BZ neutrinos)



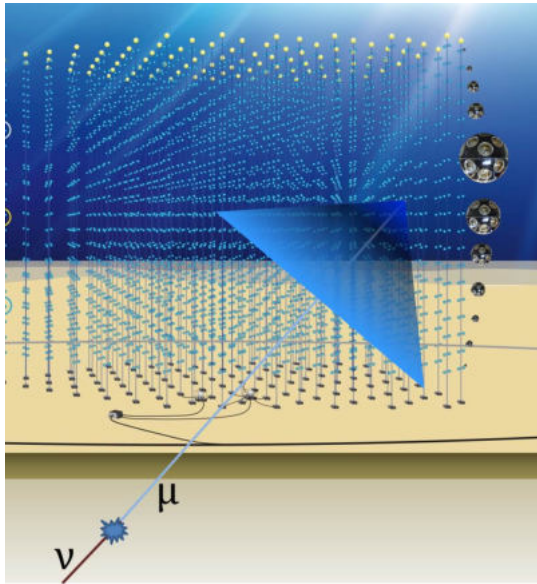
Golden channel: throughgoing muon from CC ν_μ interaction.

But also showers from NC, ν_e , ν_τ

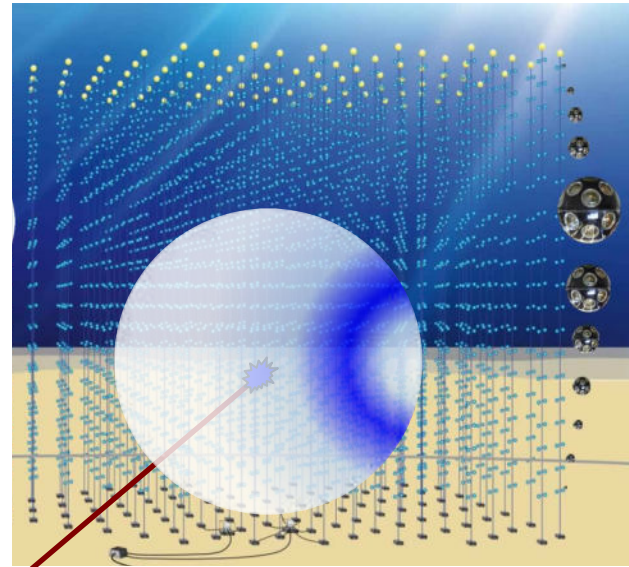
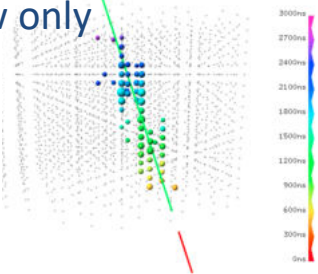


Look at upgoing muons: use the Earth as a filter

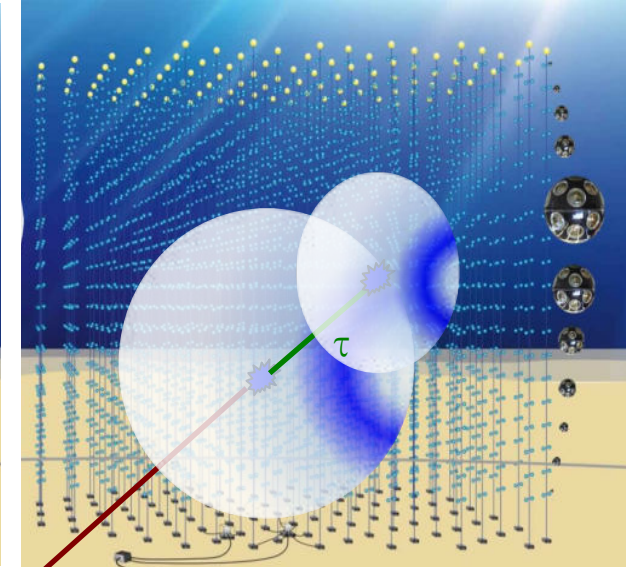
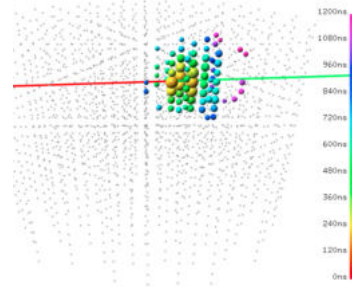
Only atmospheric and astrophysical neutrinos can cross the Earth



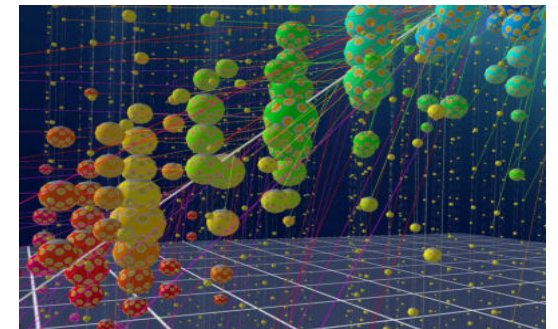
Tracks:
CC muons (and taus)
highest effective area, good
angular resolution
High atmospheric muon
background: look at events from
below only



Cascades:
NC, CC electrons and taus
remove atmospheric muon
background: studies over 4π .
'Good' energy resolution,
worse directional resolution



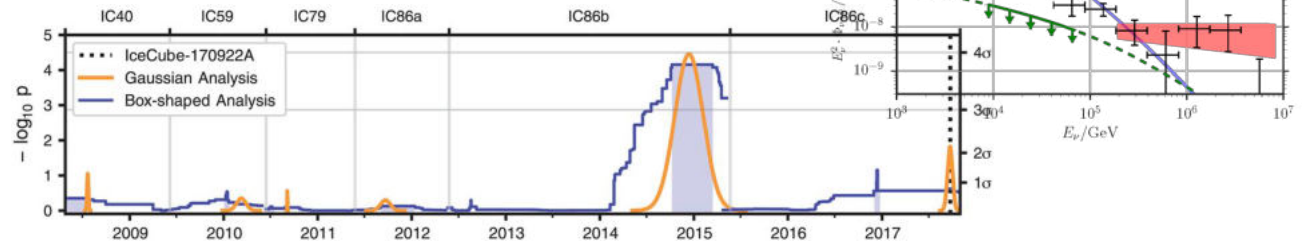
Lollypops et al.:
taus (HE)
Unambiguous topology
at $E_{\text{tau}} > \text{PeV}$



IceCube

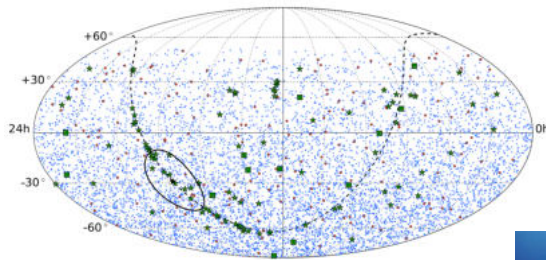


- no point sources (so far) BUT
- 1) extra-terrestrial neutrino flux signature
 - 2) “multimessenger” time coincidence

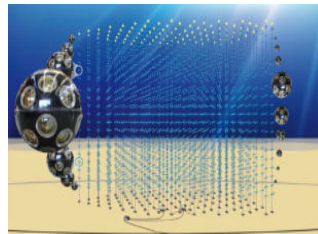


Use sea water, better angular resolution and expected improved sensitivity

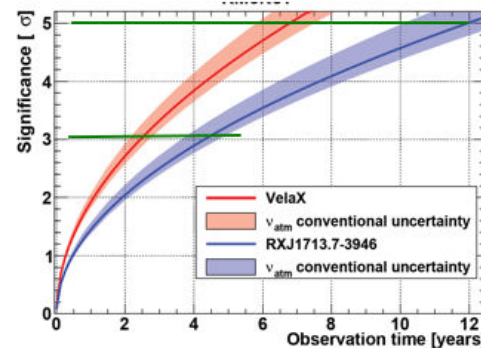
ANTARES (Med Sea)



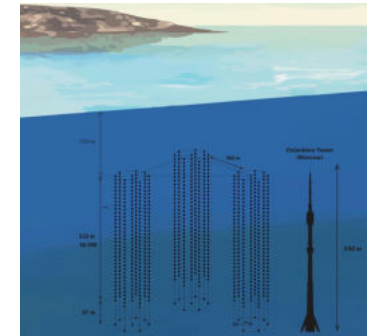
size limited



KM3NeT – ARCA (Med Sea)



Baikal GVD



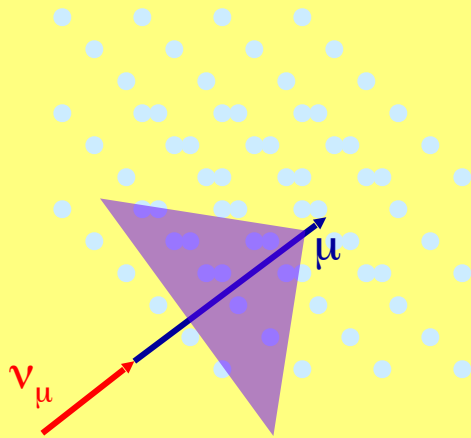
mainly UHE events

1 TeV

100 PeV

1000 ZeV

Optical Detection (IceCube-KM3NeT)



Medium: Seawater, Polar Ice

ν_μ (throughgoing and contained)

$\nu_{e,\tau}$ (contained cascades)

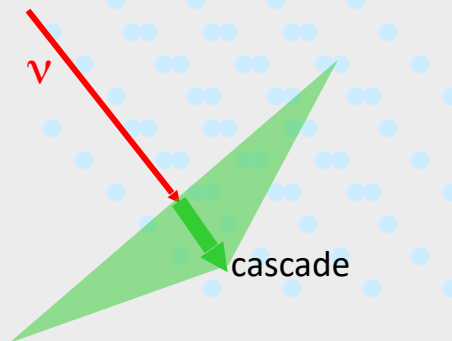
Carrier: Cherenkov Light (UV-visible)

Attenuation length: 100 m

Sensor: PMTs

Instrumented Volume: 1 km³

Radio Detection (Anita, Arianna, Ara, ...)



Medium: Polar ice, Salt domes

ν (cascades)

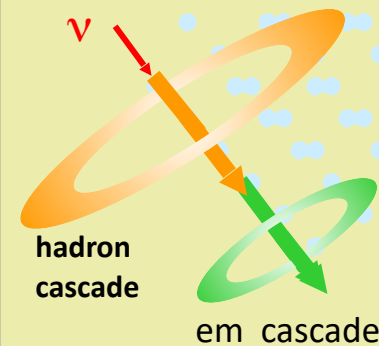
Carrier: Cherenkov Radio

Attenuation length: 1 km

Sensors: Antennas

Instrumented Volume: >1 km³

Acoustic Detection (prototypes)



Medium: Seawater, Polar Ice

ν (cascades)

Carrier: Sound waves (tens kHz)

Attenuation length: few km

Hydro-phones

Instrumented Volume: >10 km³

IceCube:

Flux cutoff at very high energies ?

UHECR experiments (e.g. PAO):

Neutrino events/signatures not (yet?) identified

CR at extreme energies:

Composition (Auger – TA)

if protons

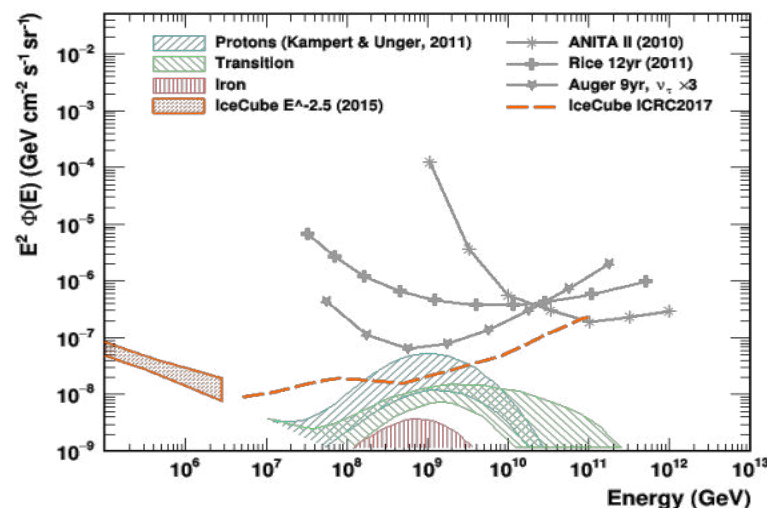
interaction with CMBR \rightarrow GZK \rightarrow BZ ν (10^{18} eV)

if heavy nuclei

via interaction with CMBR \rightarrow pion decay (10^{18} eV), beta decay of n, relic (10^{16} eV)

lower thresholds but also lower fluxes for interactions with the EBL

Super Heavy Dark matter decay scenario ? (10^{20} eV)



Pure proton models with a strong source evolution already constrained by IceCube and Fermi LAT measurement

Threshold (actual estimate) for large radio and acoustic arrays:

$$E_\nu > 10^{17} \text{ eV (radio)} \quad E_\nu > 10^{19} \text{ eV (acoustic)}$$

Neutrino interaction with Earth: downgoing or horizontal neutrinos

Extremely low fluxes:

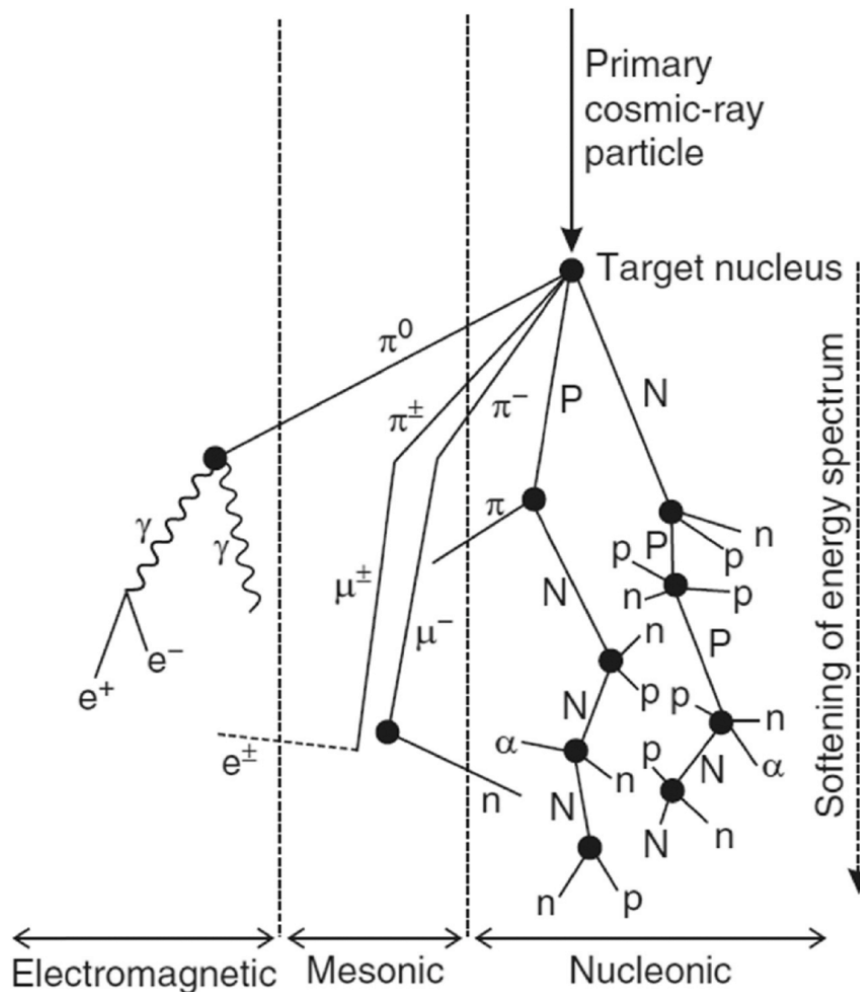
need large exposure $\rightarrow O(100)\text{km}^3 \text{ y}$

very sparse arrays \rightarrow reduce cost per unit and installation cost

hybrid/complementary detectors \rightarrow exploit/share infrastructure with “mature” experiments

Reduce detection threshold !

Originated by gammas, CR or neutrinos

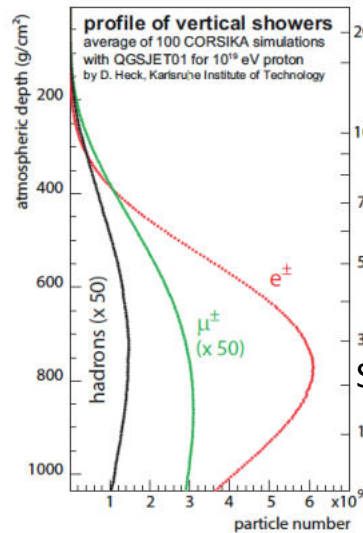


Propagation in

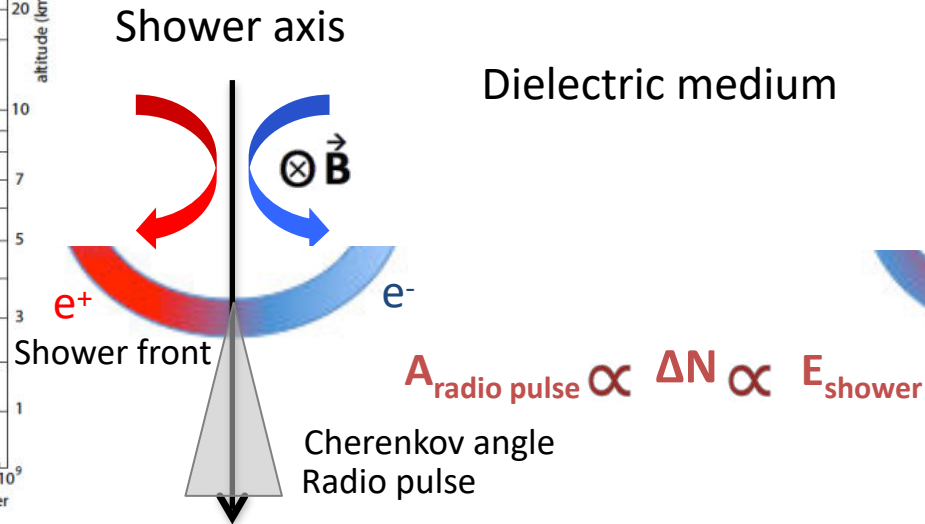
- atmosphere
- ice
- water
- (salt)

induces characteristic radio and/or acoustic signatures that propagates for >km distance

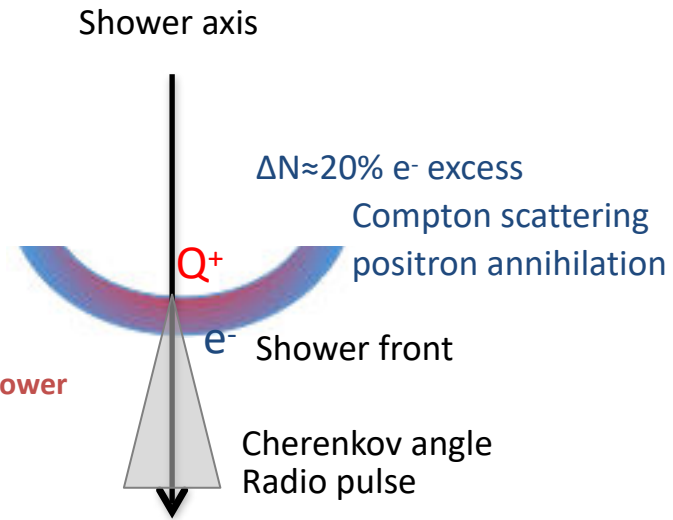
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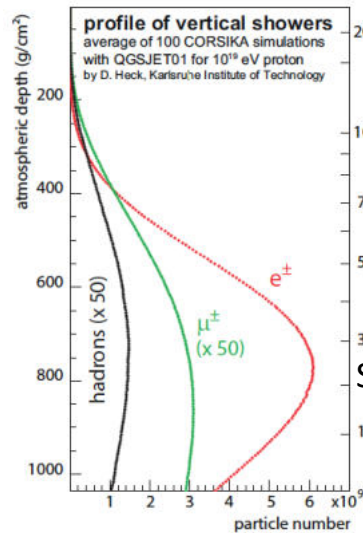
Geomagnetic radiation



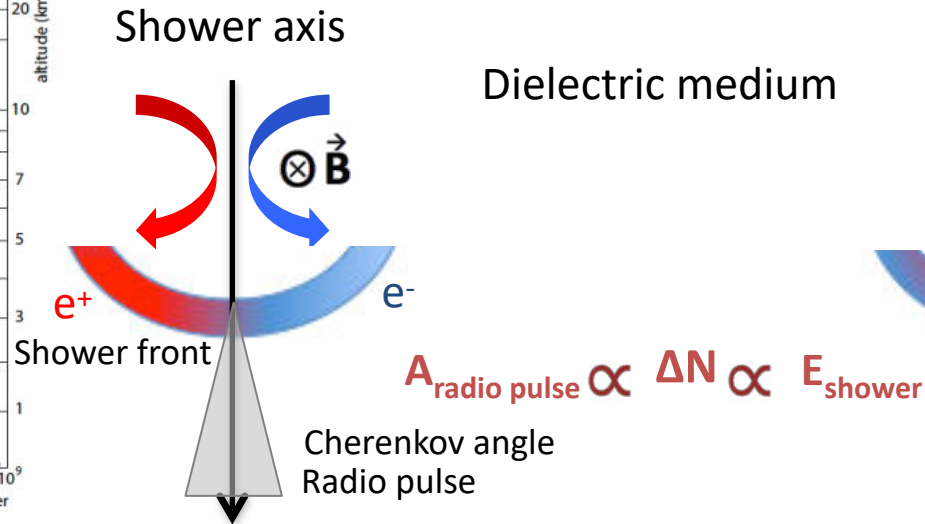
Askarian radiation



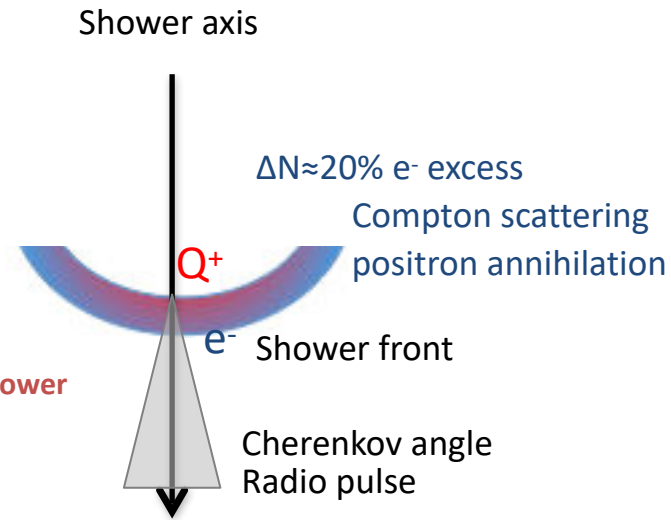
Other effects (under study): molecular bremsstrahlung, transition radiation (air/ice, ground/air)



Geomagnetic radiation



Askarian radiation



Air

extended cascades, large shower front

$R_{\text{Moliere}} \approx O(100 \text{ m})$, $R_{\text{core}} \approx O(10 \text{ m}) \rightarrow f \approx 10 \text{ MHz: } 100 \text{ MHz}$

$L \approx O(\text{km})$

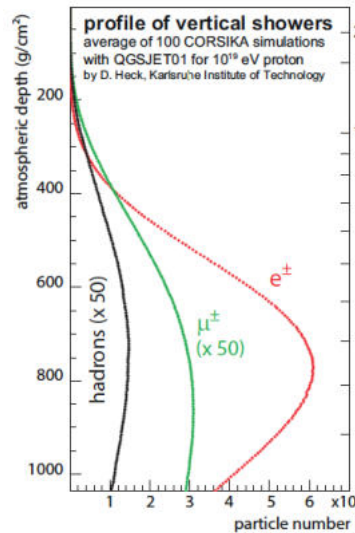
Cherenkov angle $\approx 1^\circ$

Geomagnetic effect dominates ($\approx 80\%$)

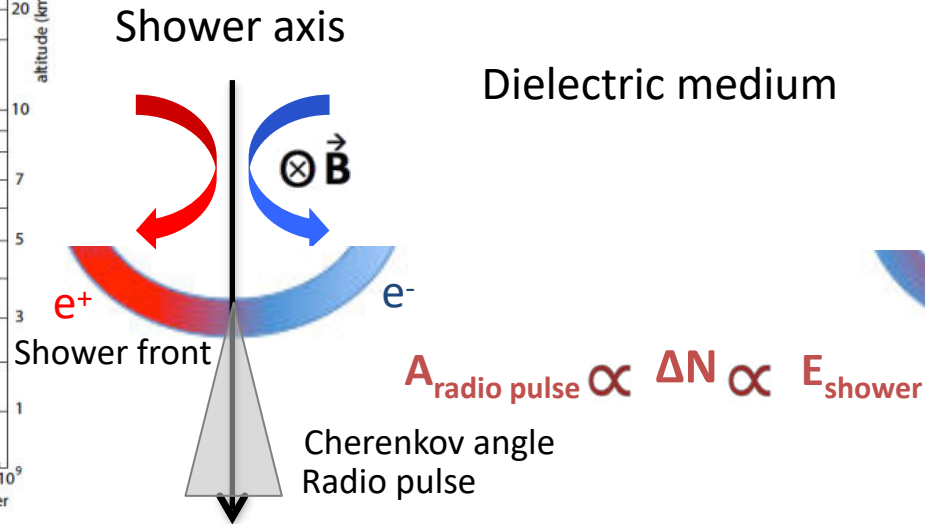
large $B \rightarrow$ intense radio emission

Linear polarisation (direction of F_{Lorenz})

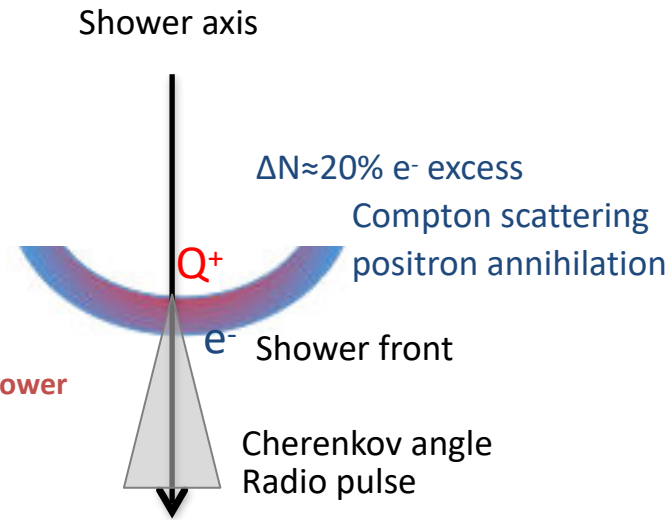
Radio absorption negligible



Geomagnetic radiation



Askarian radiation



Dense media:

narrow shower front, confined core

$R_{\text{Moliere}} \approx 10 \text{ cm} \rightarrow f \approx 100 \text{ MHz: } 1 \text{ GHz}$

$L \approx O(10 \text{ m})$, LPM at extreme energies

Cherenkov angle $\approx 57^\circ$ in ice

Askaryan effect dominates

Radial polarisation (towards shower axis)

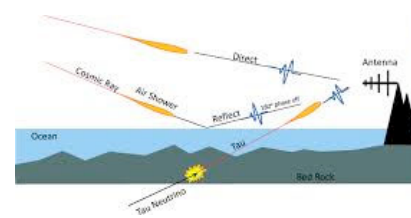
Radio absorption $O(1 \text{ km in ice})$

Ground-based air shower detectors

AERA@PAO, Lofar, GRAND, Taroge*

$O(>10^3 \text{ km}^2)$ instrumented, Observed volume 10^3 km^3 , $E^{\text{th}} \approx 10^{16:17} \text{ eV}$

Direct (CR,v) or reflected*
Inclined young showers (v)
Direct (ν_τ) from ground

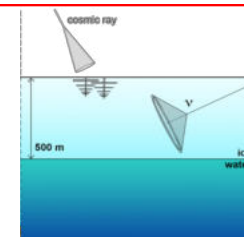


Ice surface-based detectors

ARIANNA, GNO

$O(>10^2 \text{ km}^2)$ instrumented, Observed volume 10^2 km^3 , $E^{\text{th}} \approx 10^{16:17} \text{ eV}$

Direct and reflected signal (v)

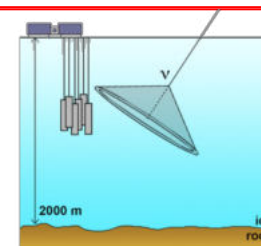


In ice detectors

ARA (RICE)

$O(>10^2 \text{ km}^3)$ instrumented volumes, Observed volume 10^2 km^3 , $E^{\text{th}} \approx 10^{17} \text{ eV}$

Direct and reflected signal (v)

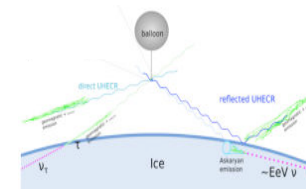


Balloon and Satellites detectors

Anita, Forte, EVA

$O(\text{m}^3, 1000 \text{ m}^3)$ instrumented areas, Observed Volume 10^6 km^3 , $E^{\text{th}} \approx 10^{18} \text{ eV}$

Refracted (v) and reflected
(CR,v) signal, upgoing (ν_τ)



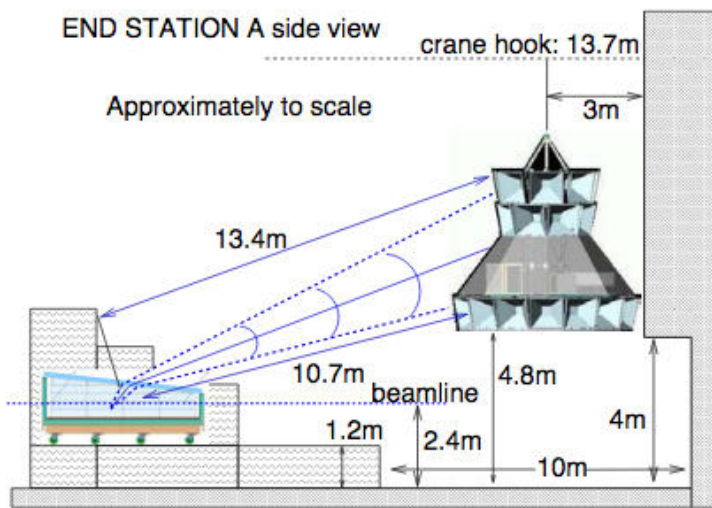
Ground-based lunar observatories

GLUE, NuMoon, SKA, LOFAR

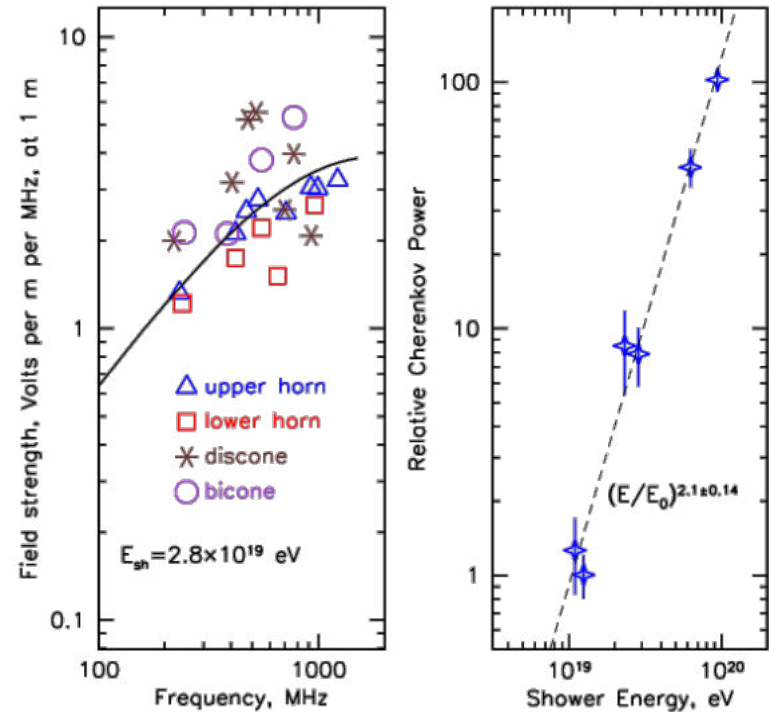
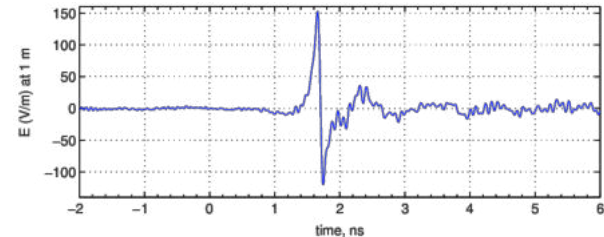
$O(>10^2 \text{ m}^2: 10 \text{ km}^2)$ instrumented, Observed volume 10^6 km^3 , $E^{\text{th}} \approx 10^{20} \text{ eV}$

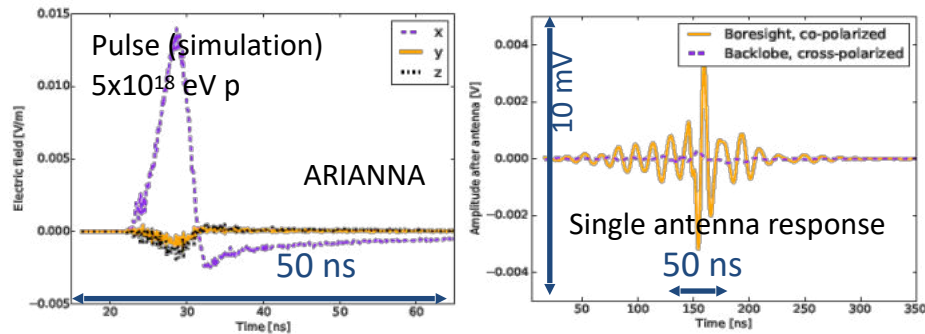
Refracted signal in lunar regolith (v)
Skimming events (CR)





28.5 GeV x 10^9 particles/shower (4×10^6 e⁻ excess)
 10 ps bunch · Coherent ($P \propto E^2$) radio emission
 Production and detection of Askaryan radiation in salt and ice.
 Testbed for ANITA





Simulation (Coreas,ZHS,...)

CR interaction

Maxwell Equations, Coherence

Propagation in medium (n vs depth)

Antenna+amplifier+digitizer response

Antenna Thermal noise

Correlation, beam forming, trigger

Digitally phased arrays

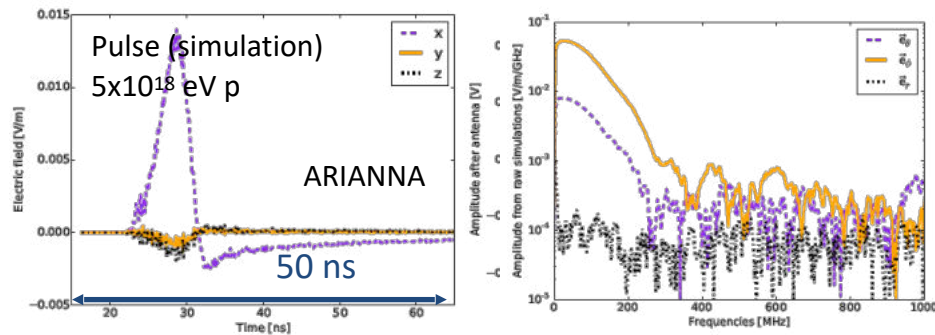
Background cuts:

Galactic radiation

Anthropogenic noise

Air showers (calibration, training)

Track and energy reconstruction



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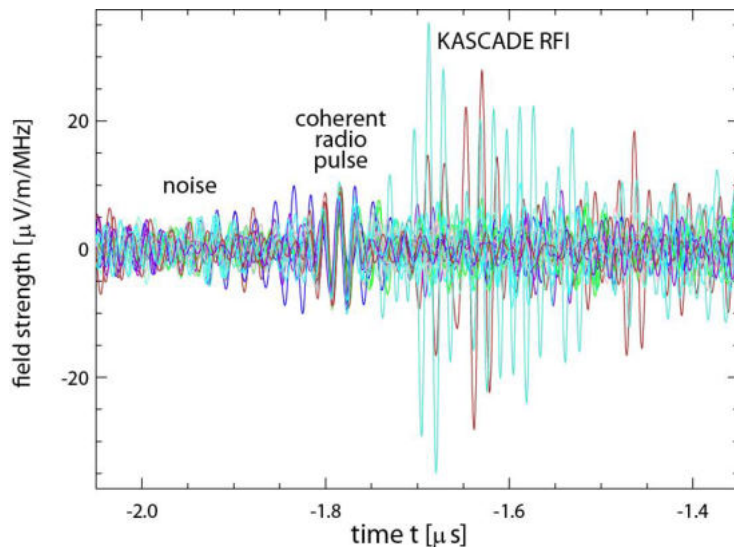
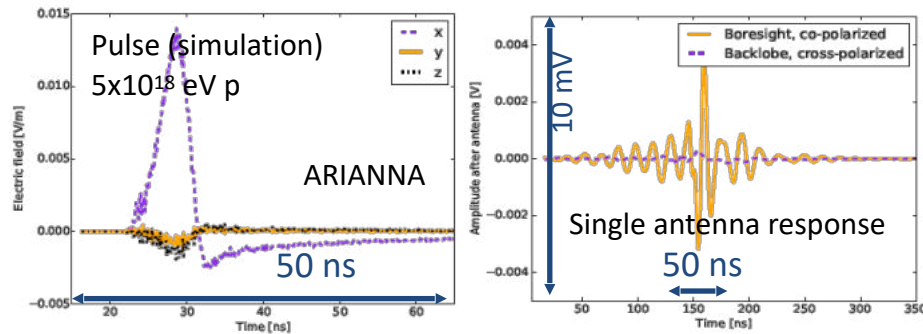
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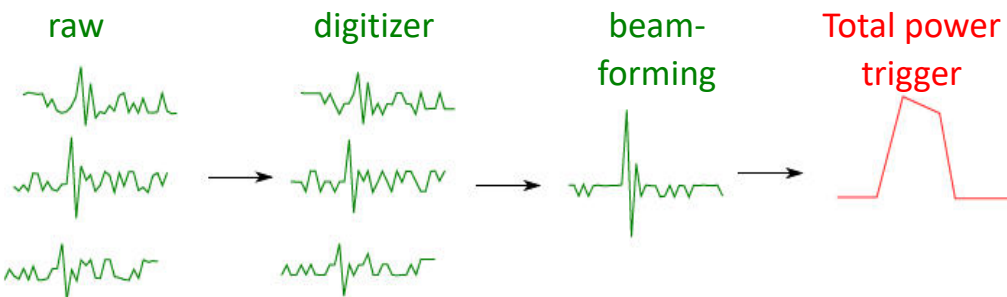
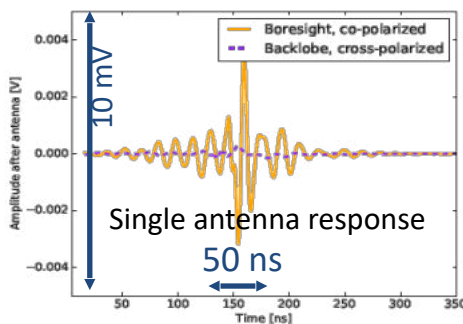
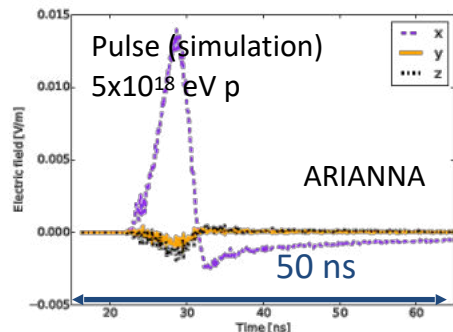
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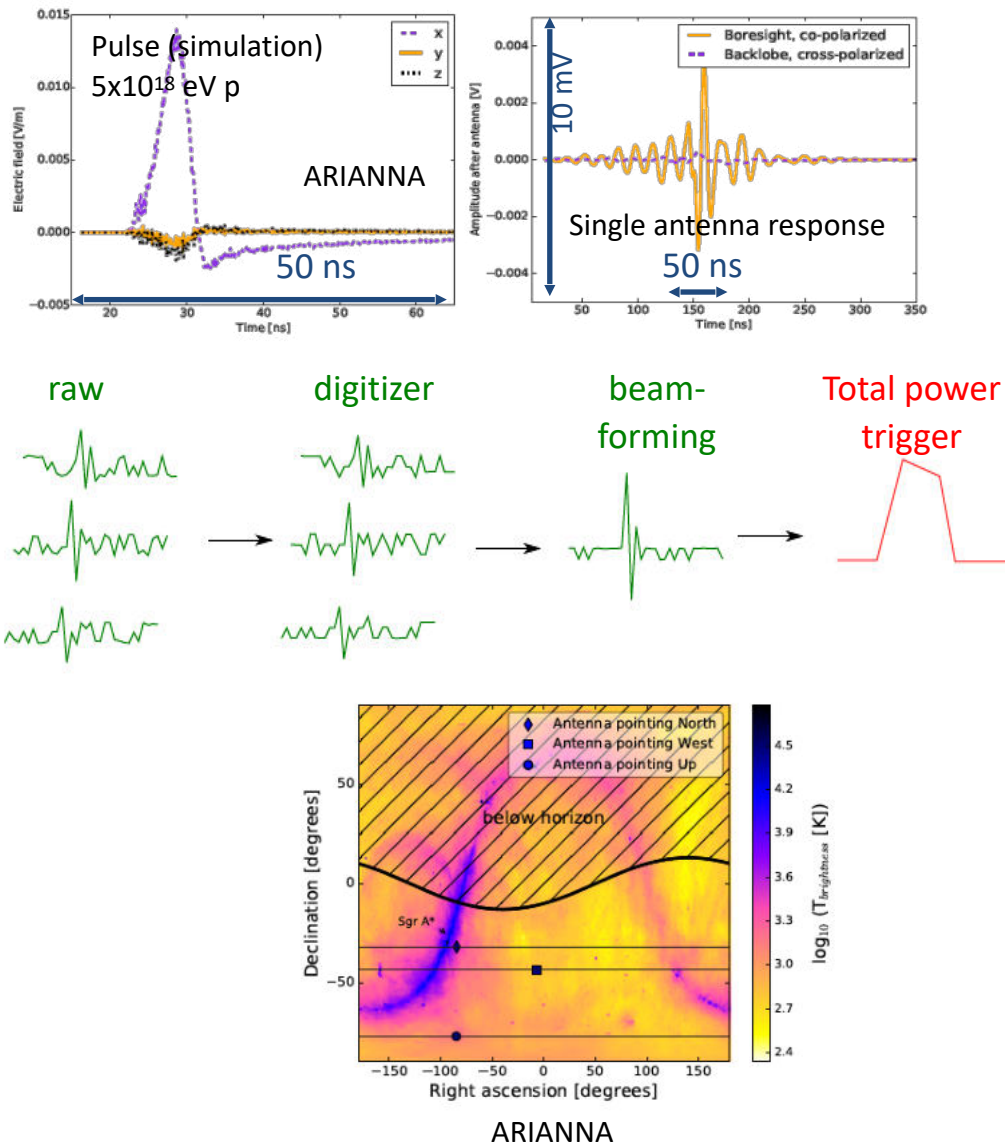
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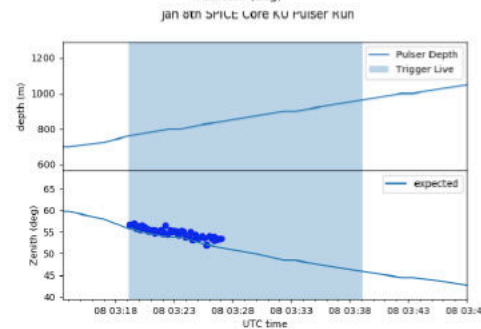
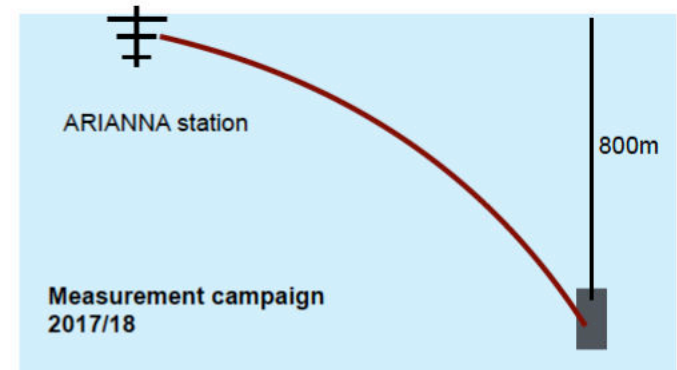
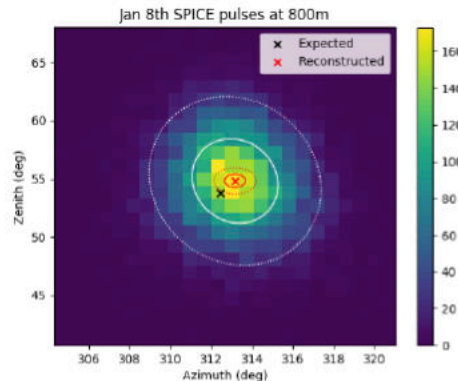
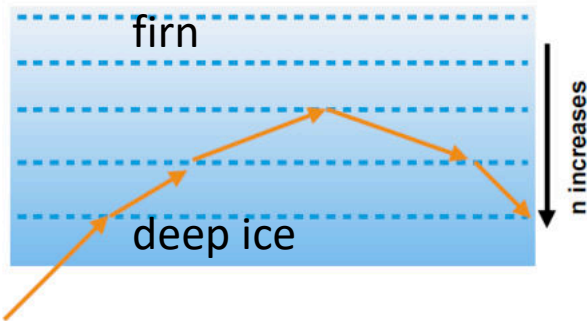
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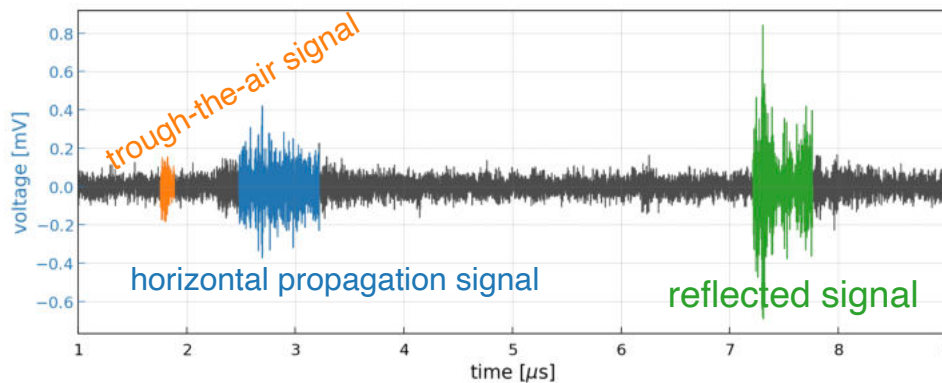
Anthropogenic noise

Air showers (calibration, training)

Track and energy reconstruction



- Excellent angular reconstruction of pulse in deep ice, with the assumption of bend rays



Anna Nelles

NASA Long Duration Balloon ≈ 30 days flight above Antarctica
4 flights from 2006

- horn antennas, 200-1200 MHz: 32 (ANITA I) \rightarrow 48 (ANITA IV)
- 8 M events (ANITA I) \rightarrow 100 M events (ANITA IV)

In-flight calibration from ground

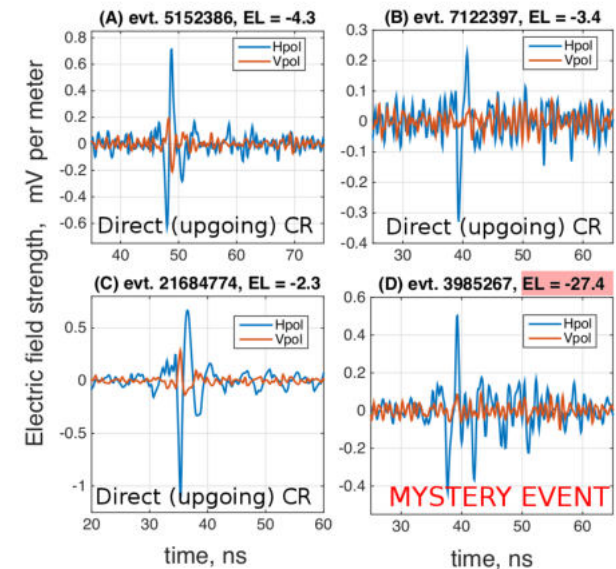
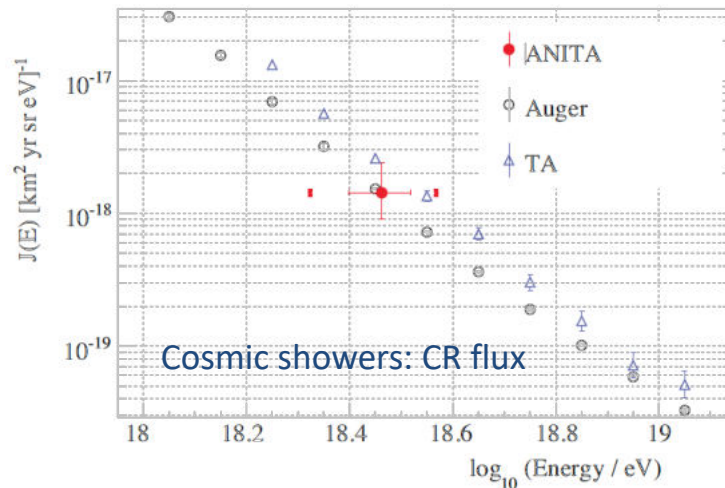
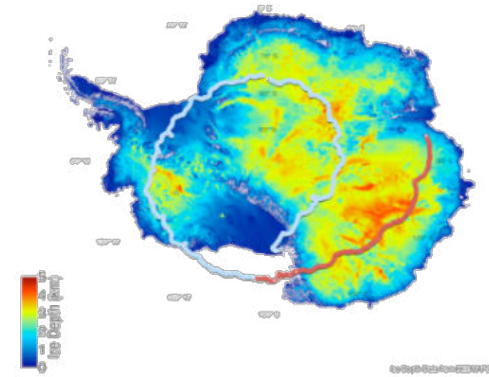
Threshold limited by thermal noise

Cosmic Ray showers (reflected)

phase inversion, H-polairisation

ice-skimming neutrinos:

V-Polarisation due to geometry of emission cone



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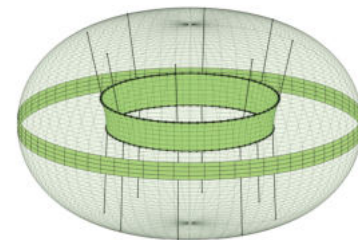
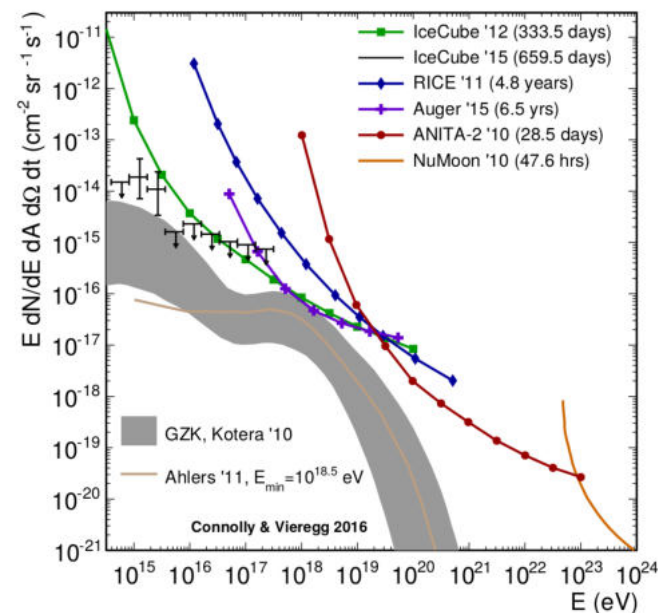
1 candidate event in ANITA 1

1 candidate event in ANITA 2

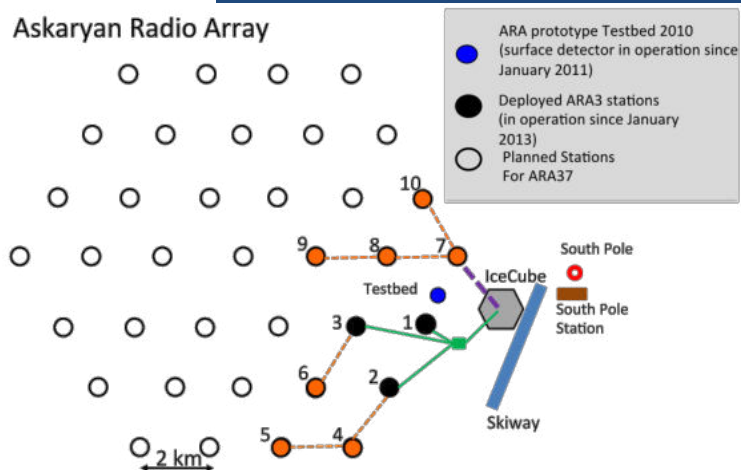
Consistent with background

EVA - Full Balloon

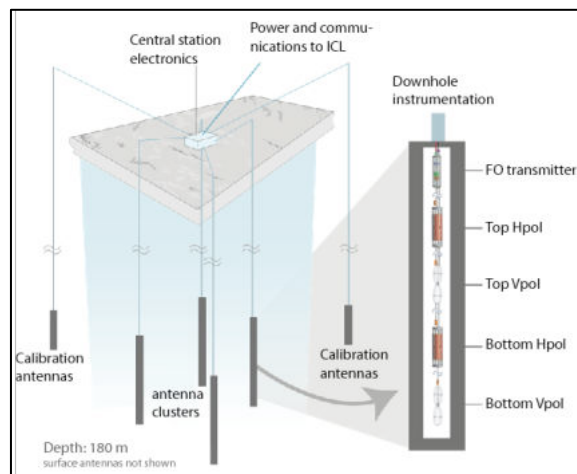
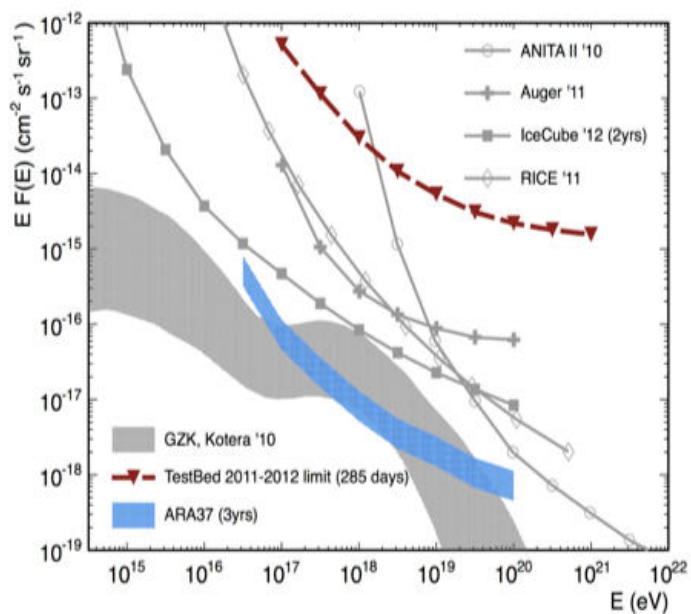
similar sensitivity to 3-year of ground-based array



Askaryan Radio Array



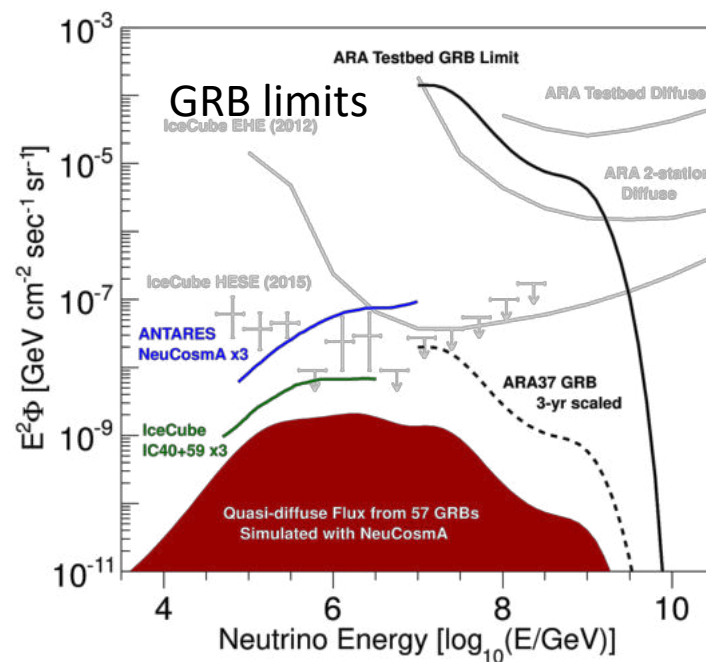
37 stations. Now 3 stations + testbed

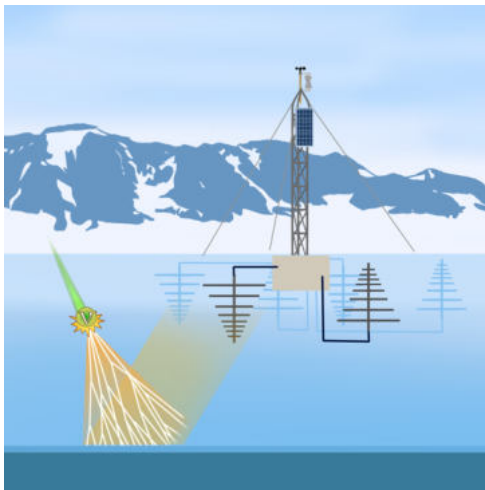


Station:

2 V-pol and 2 H-pol antennas in a 200 m buried string

RF signal transport via fiber-optic





1000 antennas (LPDA High Gain 50-1000 Hz, low power)

HRA 7 stations

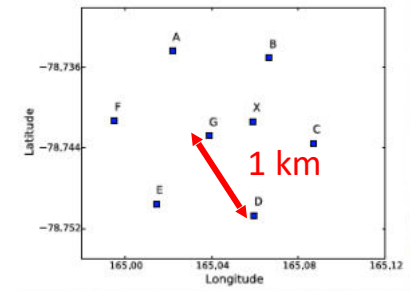
Now 12 stations

Wide bandwidth measurement
→ better energy reconstruction

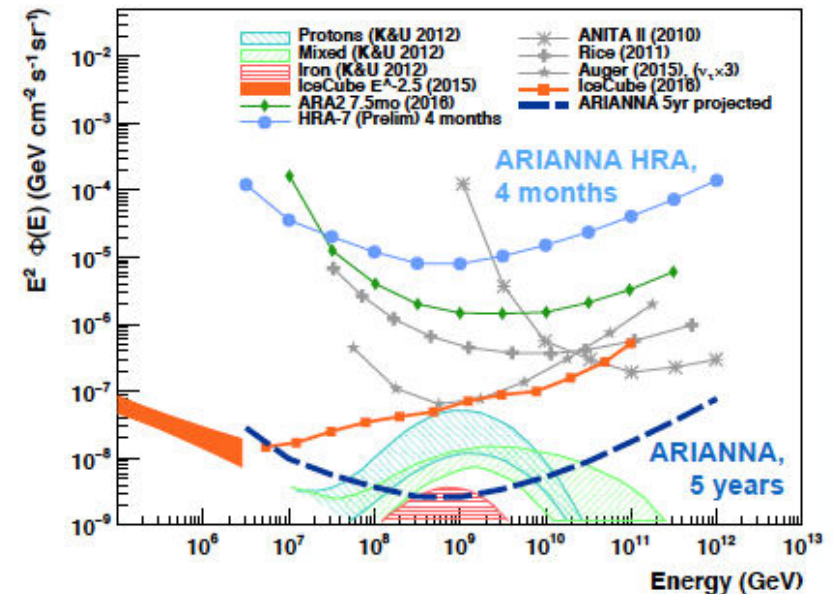
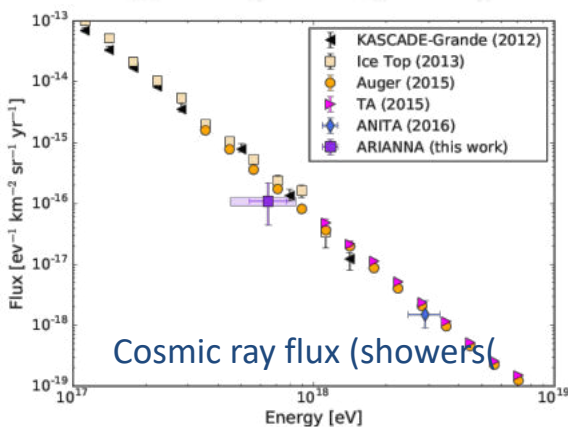
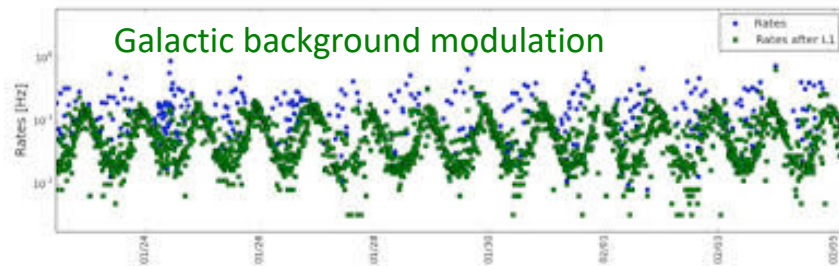
Radio-quiet environment

Now only austral summer, wind powered ?

Data transmission bandwidth limited

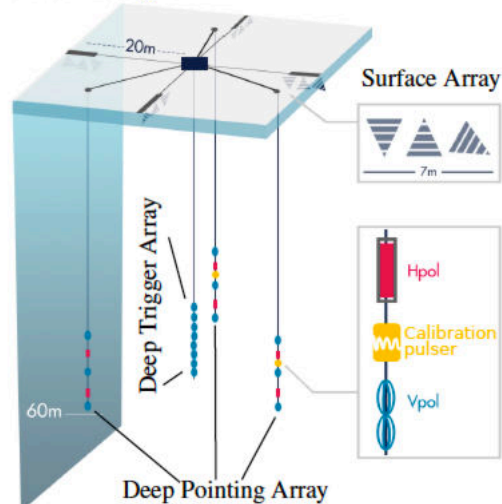


Galactic background modulation

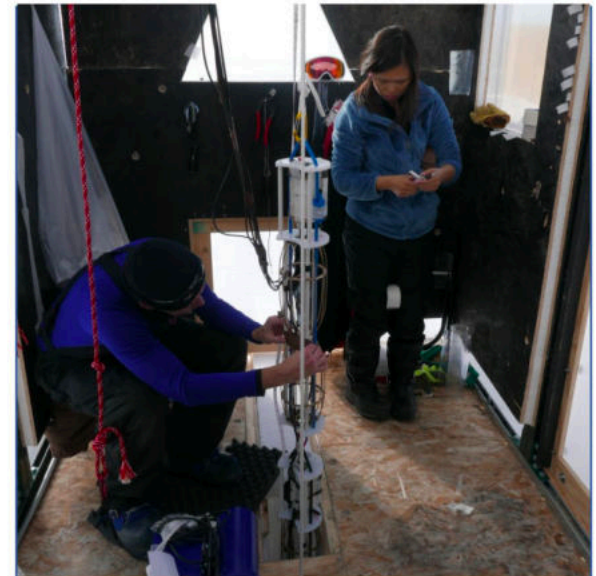
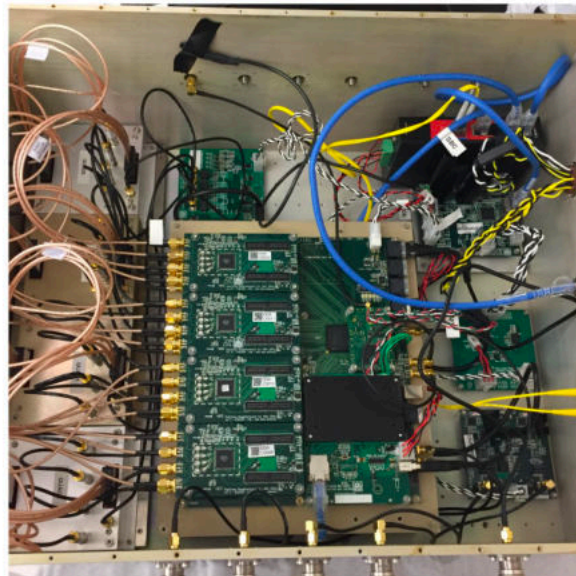
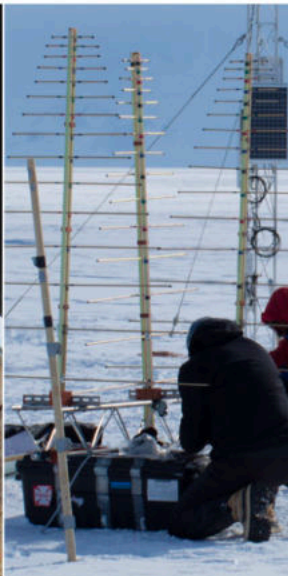
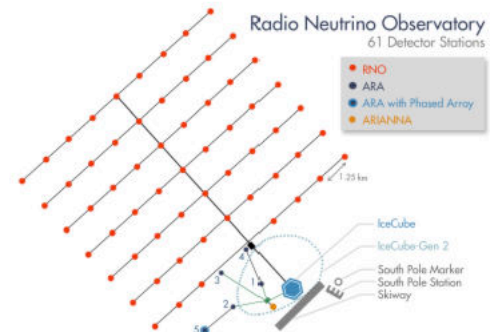




RNO design

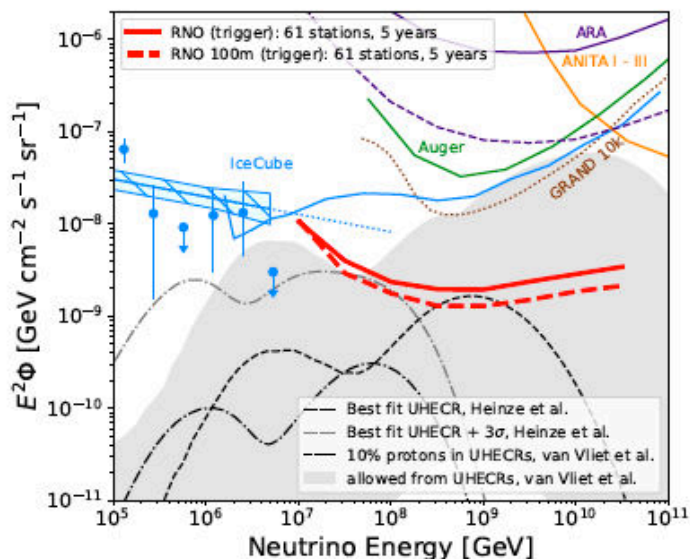


61 Stations, each with a surface (LPDA) and deep (VPol bicone + HPol slot) component, combining elements of both ARA and ARIANNA stations.



Cosmin Deaconu (UChicago/KICP)

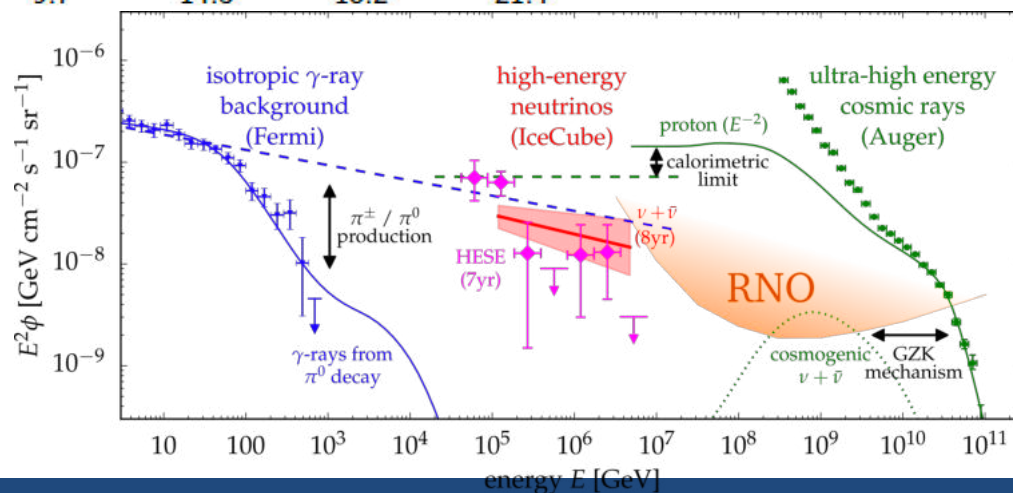
RNO projected sensitivity



- Trigger-level sensitivity shown. With ample reco antennas, expect analysis level to be close.
- Backgrounds expected to be low (< 0.01 / station / year).
 - ▶ Thermal fluctuations negligible (no correlation between trigger and pointing arrays)
 - ▶ RFI will reconstruct to surface; can be cut with small sensitivity loss
 - ▶ Any background from cosmic ray signals entering ice likely vetoable by surface antennas

Cutoff Energy on IceCube Flux	10 ⁸ GeV	10 ^{8.5} GeV	10 ⁹ GeV	10 ^{9.5} GeV	10 ¹⁰ GeV
Expected Number of Neutrinos	5.1	9.7	14.3	18.2	21.4

Cosmin Deaconu (UChicago/KICP)



- The Physics Case
- Radio Detection
- Acoustic Detection (R&D)
- Conclusions

Hadronic shower formation at interaction vertex

(if ν_e also an e.m. shower)

Hadronic shower carries $\approx \frac{1}{4} E_\nu$

Shower Development (LPM must be taken into account)

Sudden deposition of heat through ionization (10^{-8} sec)

Thermo-acoustic process dominant (10^{-5} sec):

Increase of temperature (C_p), Volume Expansion (β)

Bipolar pulses

$$p(\vec{r}, t) = \frac{\beta}{4\pi \cdot C_p} \int \frac{dV'}{|\vec{r} - \vec{r}'|} \cdot \frac{\partial^2}{\partial t^2} q\left(\vec{r}', t - \frac{|\vec{r} - \vec{r}'|}{c_s}\right)$$

$p_{max} \approx 6 \cdot 10^{-21} \left[\frac{Pa}{eV} \right] \cdot E_\nu$

site dependent parameters

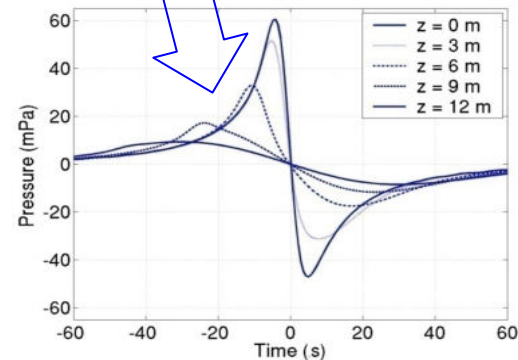
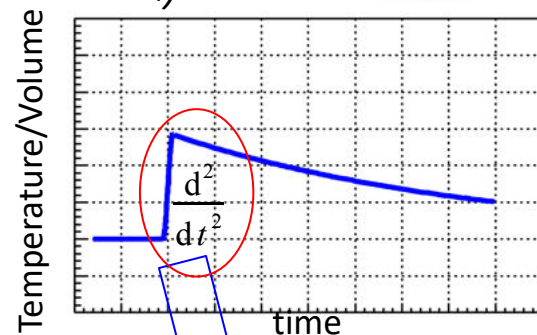
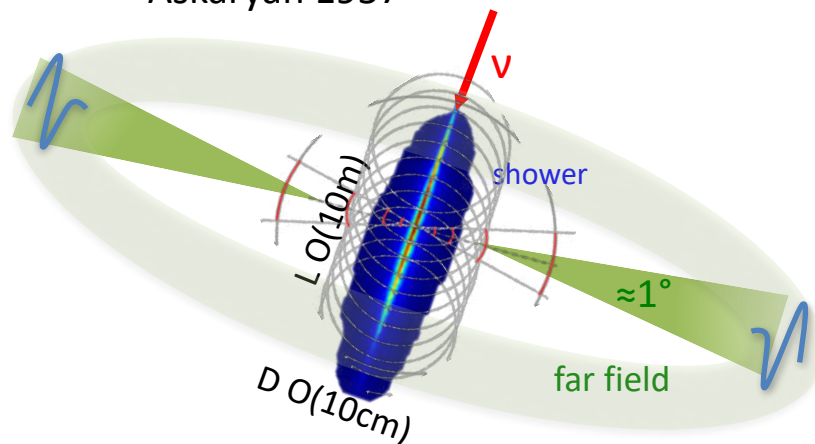
“Pen shaped” energy deposition region (20 m depth, 10 cm diameter)

Coherence:

$$f \approx c_s/d \approx O(10 \text{ kHz})$$

“pancake” waveform ($\approx 1^\circ$ aperture) $p(r) \propto 1/r$

Askaryan 1957



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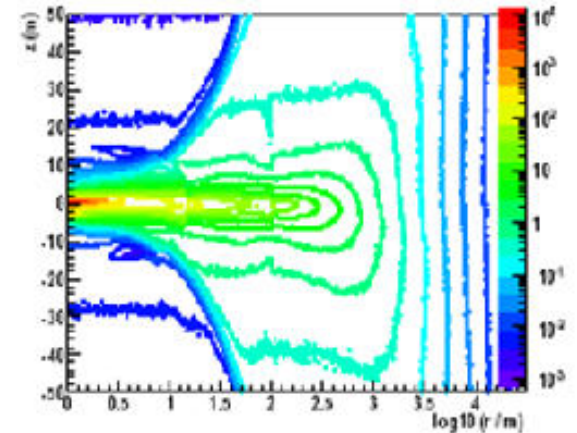
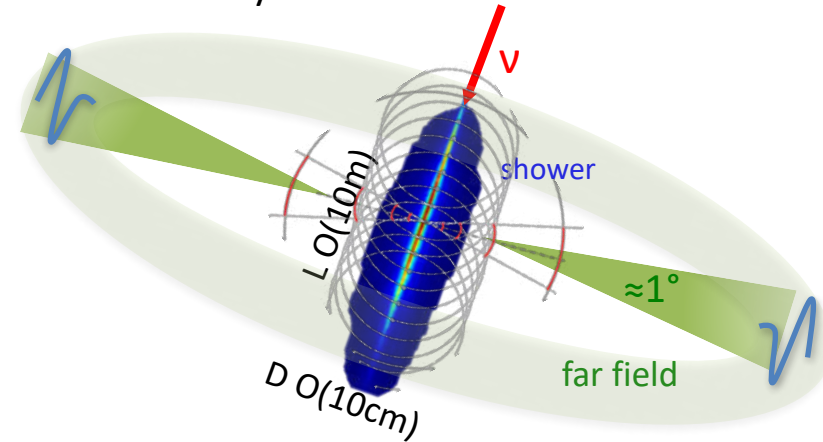
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Askaryan 1957



Propagation in seawater

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(if ν_e also an e.m. shower)

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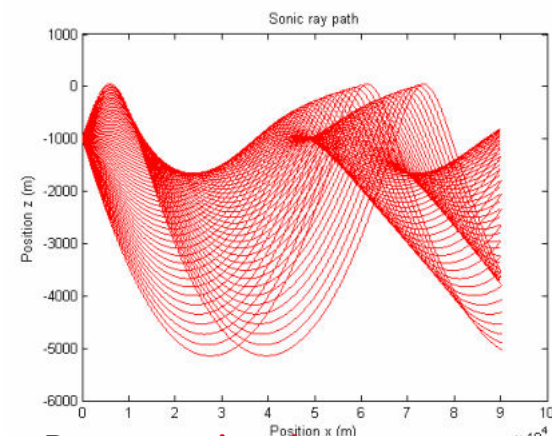
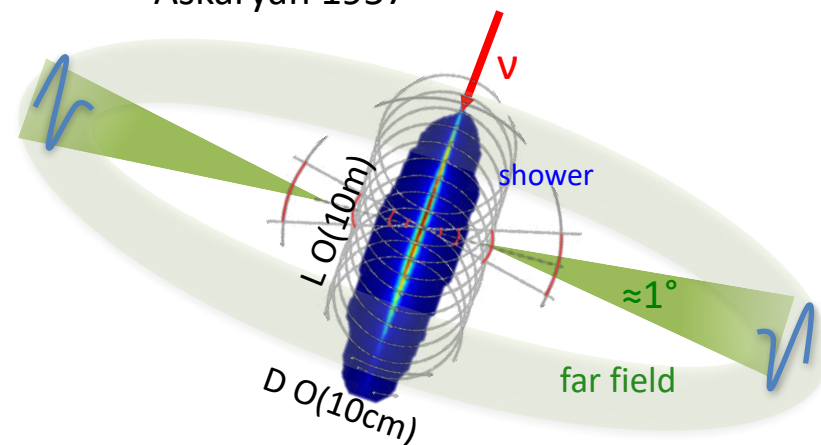
“Pen shaped” energy deposition region (20 m depth, 10 cm diameter)

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“pancake” waveform ($\approx 1^\circ$ aperture) $p(r) \propto 1/r$

Askaryan 1957



Propagation in seawater

History: BNL 1979

200 MeV proton beam

Beam diameter 4.5 cm

Energy deposited in water $10^{19} \rightarrow 10^{21}$ eV

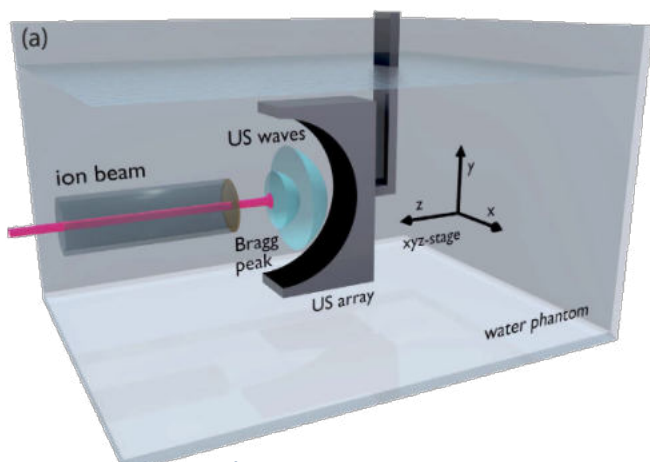
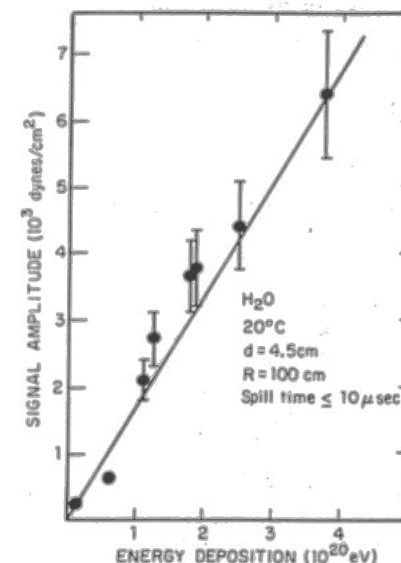
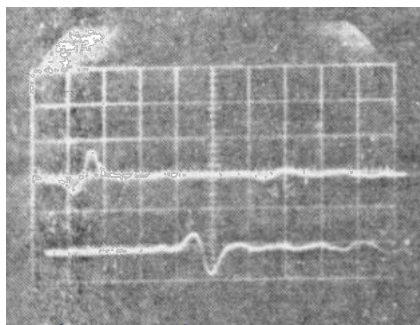
Bipolar pulses observed

Dependency on C_p , T and on beam diameter confirmed (about 10% uncertainty)

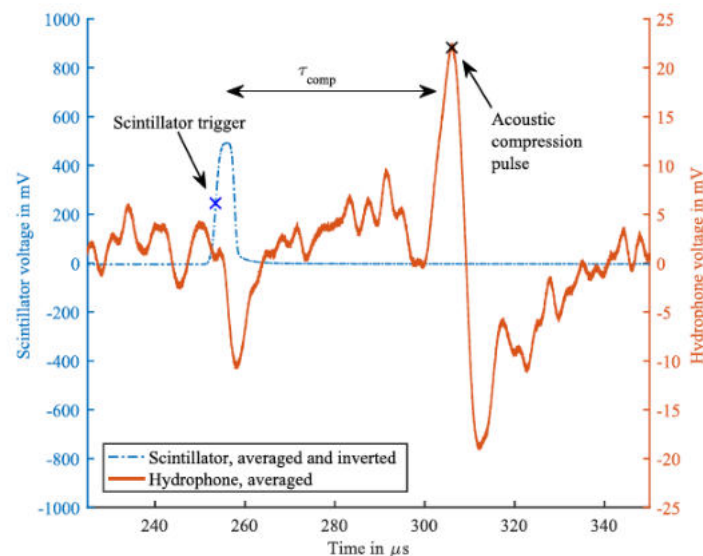
Quasi-spherical wavefront ($p \propto 1/r^2$). Not a pancake!

New: LMU 2016

Iono-acoustic methods for Bragg peak tomography of medical beams: 220 MeV protons



0.1:1 MHz signals (mm scale Bragg peak region)



Subsea network

needed to connect the sensors: use existing infrastructures

Piezoelectric transducers

reliable, linear response

noise: can be improved with new preamps, ADCs, power noise filters

New transducers:

MEMS-AVS: cheap, wave direction, but still high noise

fiber-optic hydrophones need laser and interferometer. need dedicated fibres?

Sound propagation

ray-tracing (water depth 3500 m, reflected signal)

Background

sea state (wind, rain)

geophysics and bioacoustic signals

anthropogenic noise

Use geometry cut:

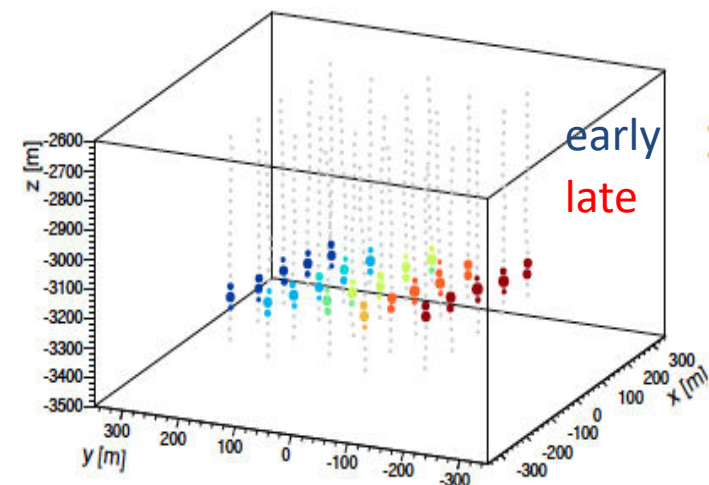
unique pancake shape, vertex direction/position

Signal processing

matched filters → wavelet

beamforming

Acoustic pancake in KM3NeT





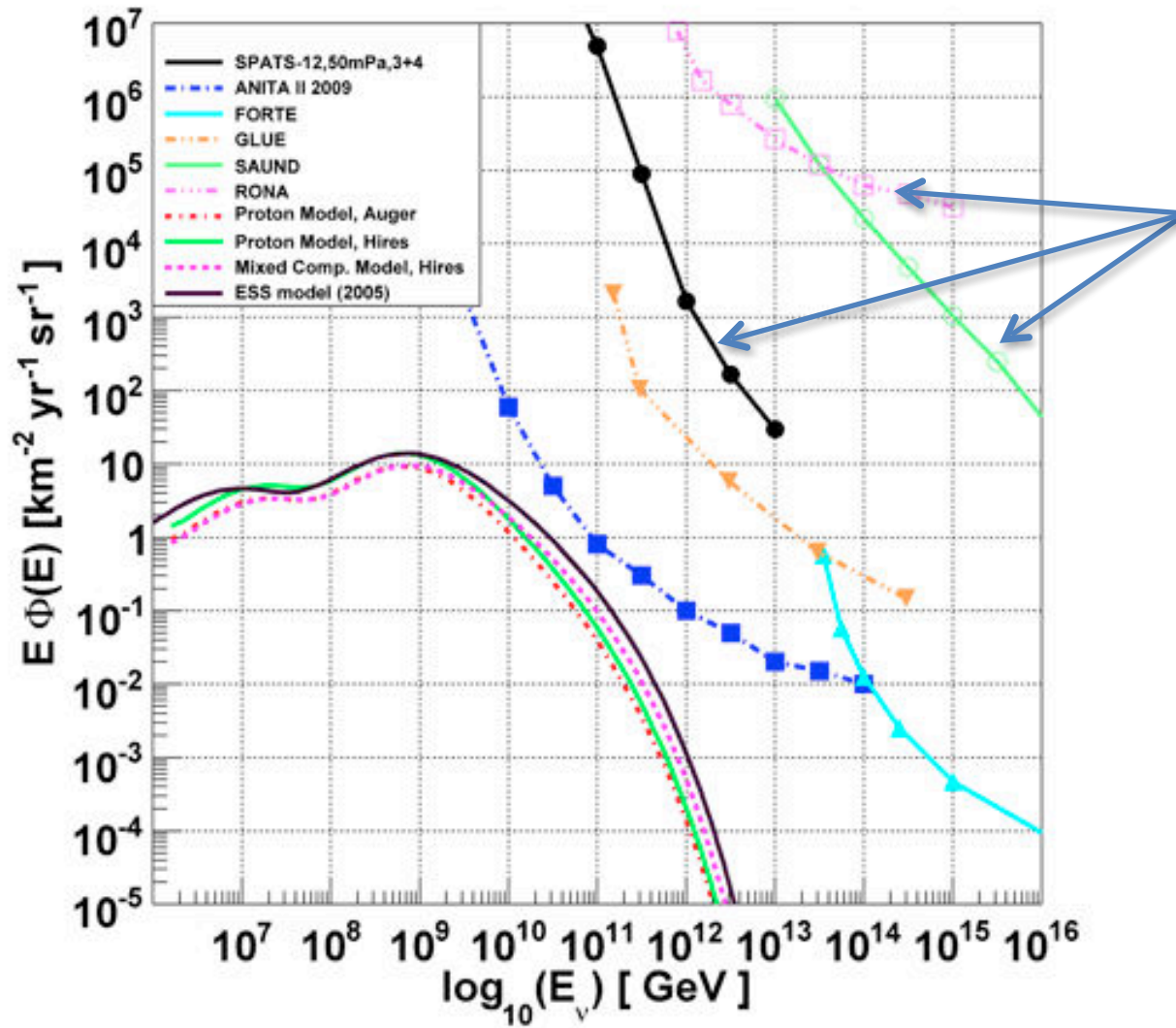
Saund (ended): AUTECH military infrastructure. 49 hydrophones 20x50 km²
Large calibrated array available (analogic and digital) but subject to military duties
First limit of the EHE neutrino flux via acoustic detection

Acorne (ended): RONA military infrastructure. 8 sensors, few 100 m spacing
shallow water (noise, sound channelling)

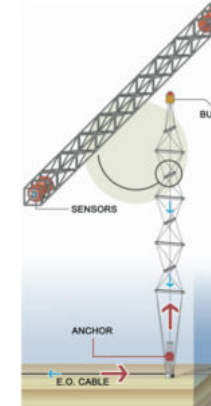
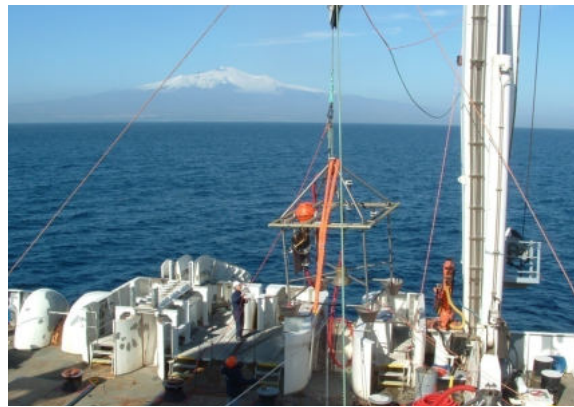
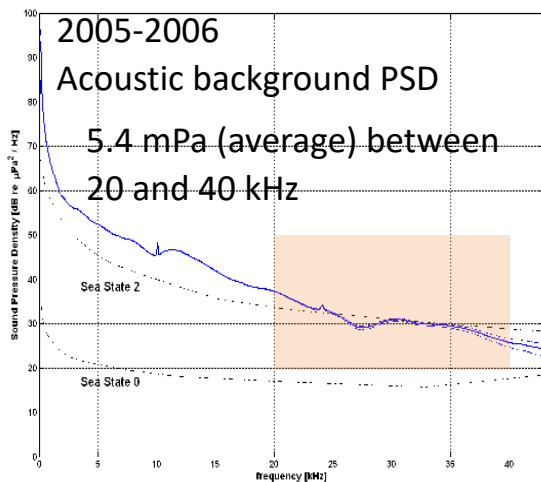
Amadeus (ended): ANTARES infrastructure. 36 sensors in 6 clusters (2 lines, ≈ 100 m apart)
Commercial ITC hydrophones, analogic readout, data transmission via Antares DAQ
check of acoustic positioning, detection of anthropogenic and biological source
test of piezo sensors in glass spheres: towards KM3NeT

Baikal: GVD infrastructure: 32 sensors, 8 clusters in 4 lines, few 100 m apart
Low noise: 2 mPa in average but Low water temperature wrt Med Sea (smaller pulses)
R&D on directional hydrophones

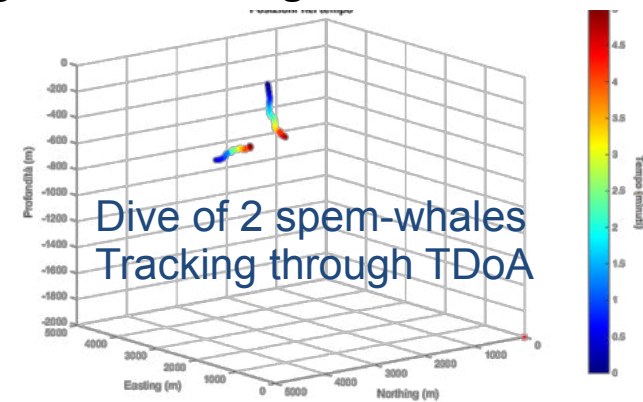
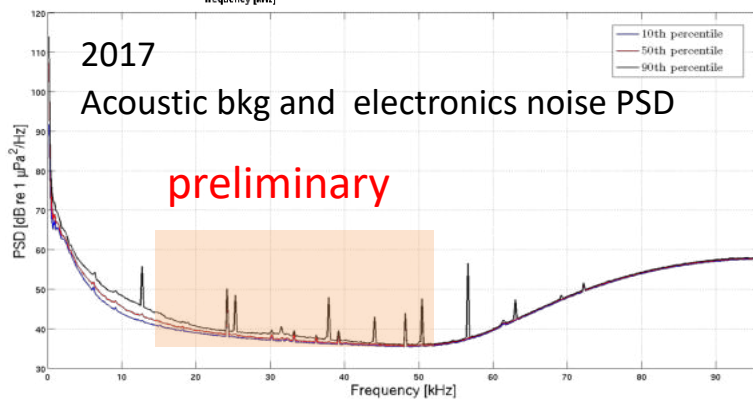
Spats: IceCube infrastructure, 28 sensors on 4 strings
technology for good glaciophones is not cheap
attenuation length less than in water



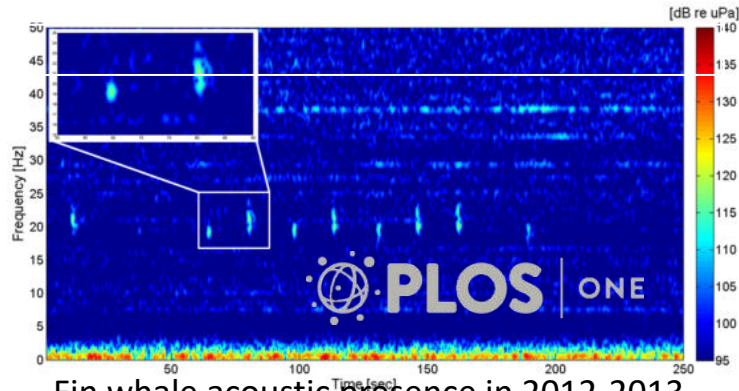
OvDE (2005-2006), SMO (running): 2100m depth. SMO@CP (2012-2013): 3500 m depth
 Tetrahedral antenna cluster (1m size). Low self noise, pressure independent calibration
 R&D for KM3Net: Digitization (192 kHz) in-situ, interface to KM3NeT DOM electronics
 Available sound library (raw data saved, 5' per hour ≈ 20 TB)



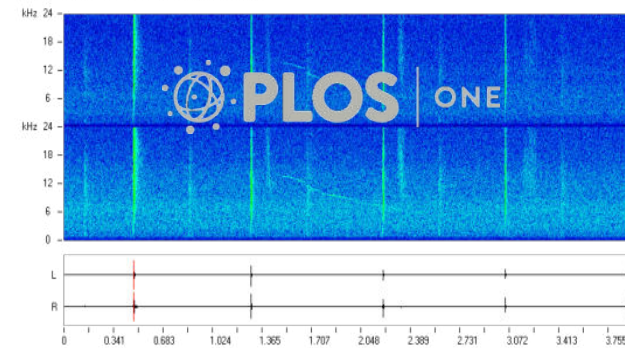
Algorithm training and calibration



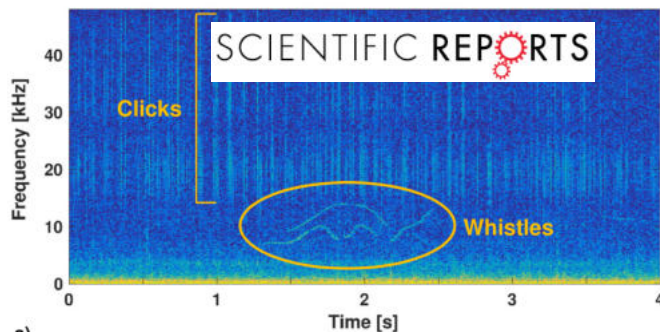
Developing technology and models using natural and man-made acoustic sources
First on-line node in the Mediterranean Sea capable to provide real-time data for the EU Marine Strategy Framework Directive



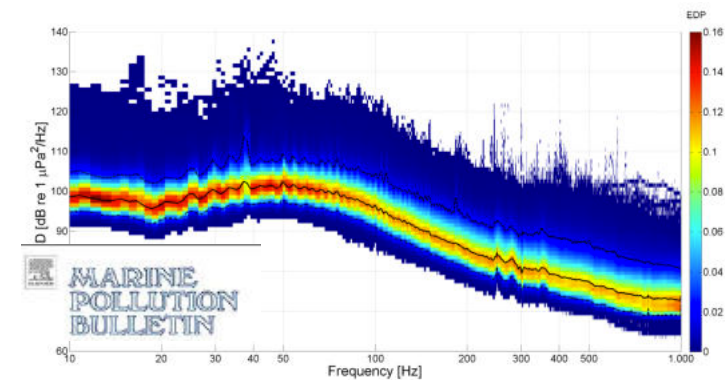
Fin whale acoustic presence in 2012-2013



Sperm-whale dimensions and population (2005-2006)

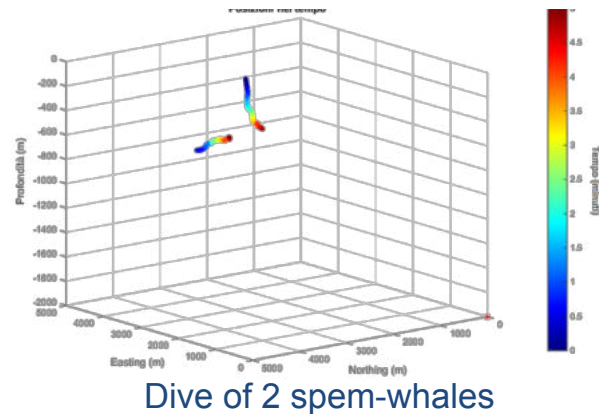
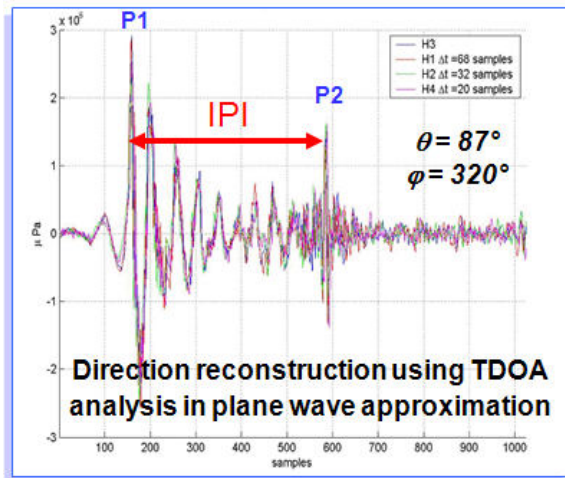


Day-night predatory pattern of dolphins (2005-2006)

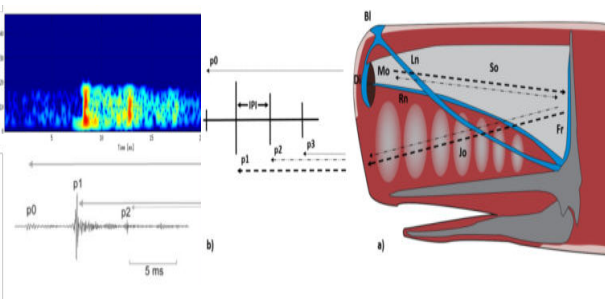
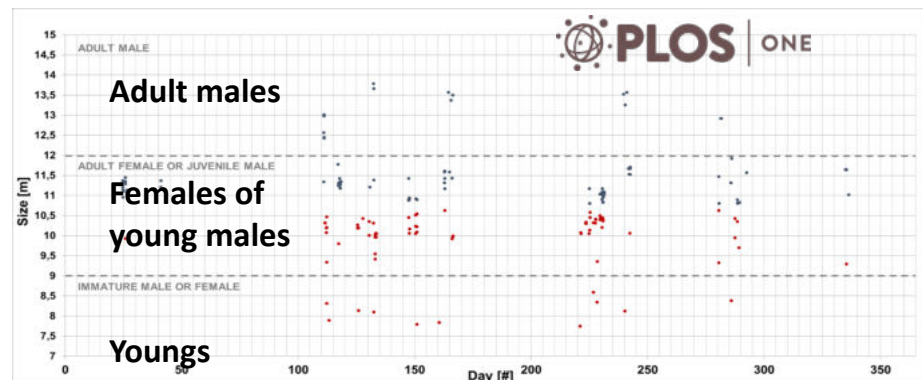


Shipping noise monitoring and modelling (2012-2013)

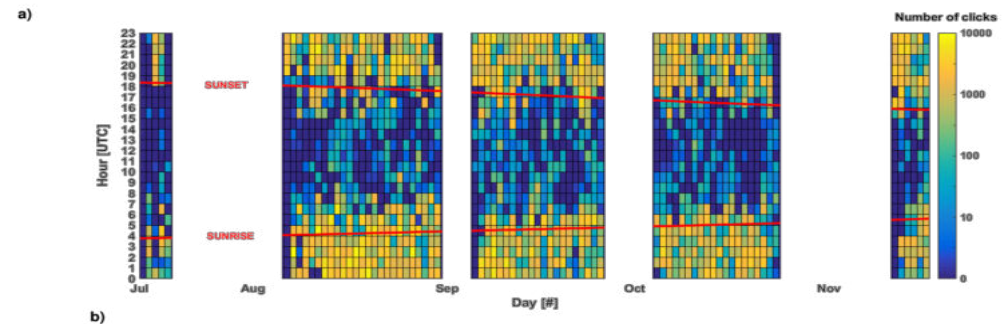
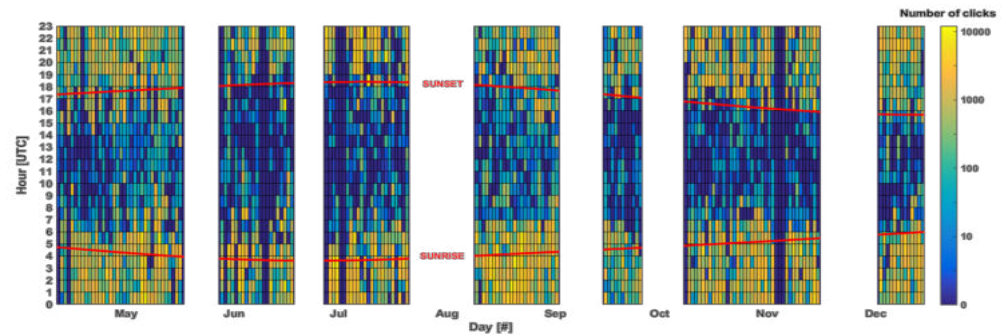
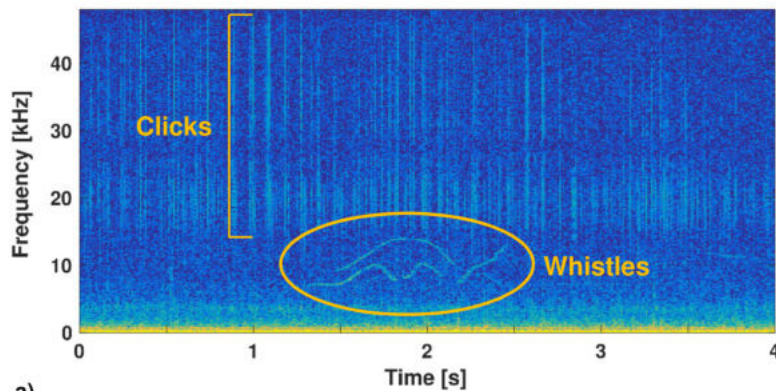
On-line monitoring of acoustic signals with OnDE allowed identification of sperm whales, determination of the population, size and tracking



F. Caruso et al, 2016

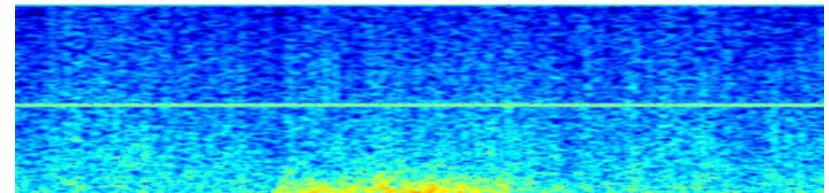
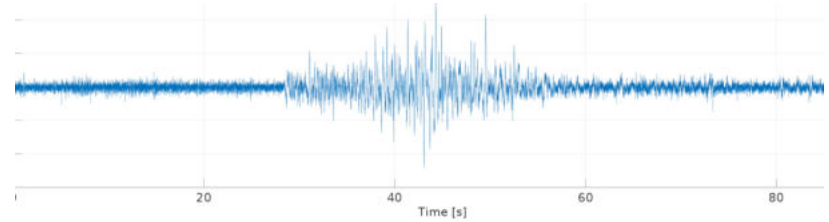
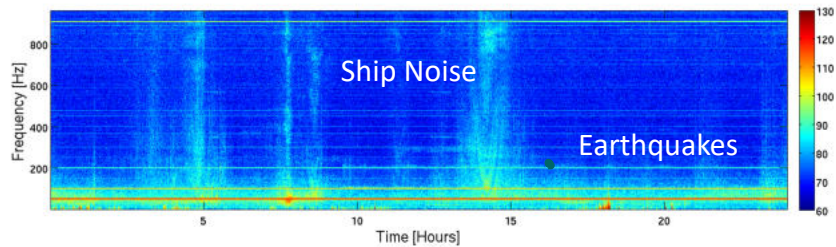
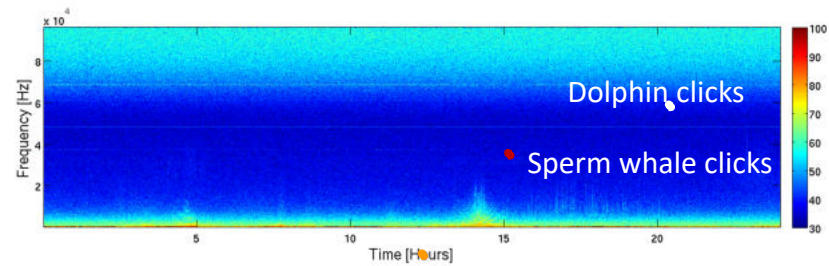


Automatic identification of dolphins' echolocation clicks (hunting)
day/night cycle assessed with 2 years of data



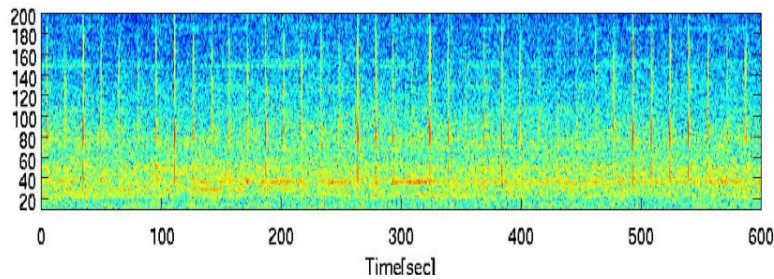
SCIENTIFIC REPORTS

F. Caruso et al., 2017

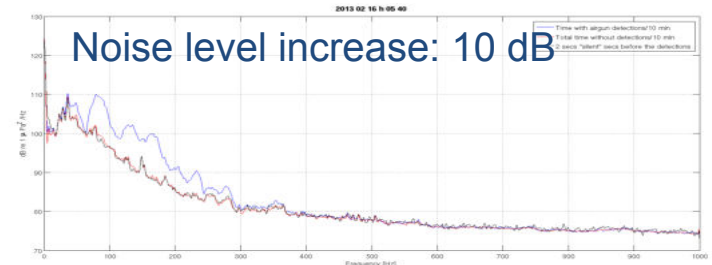


Etna Earthquake 4.8 (Catania, October 2018)

Real-time identification of “airguns” (compressed-air cannons)– 2012-2013 used for geophysics studies and oil/gas search

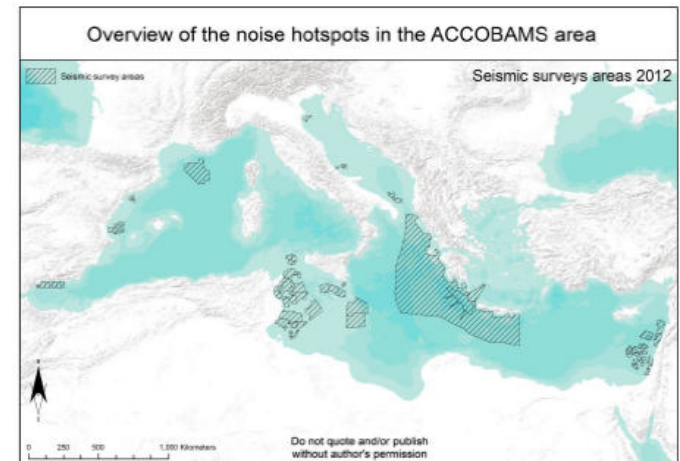
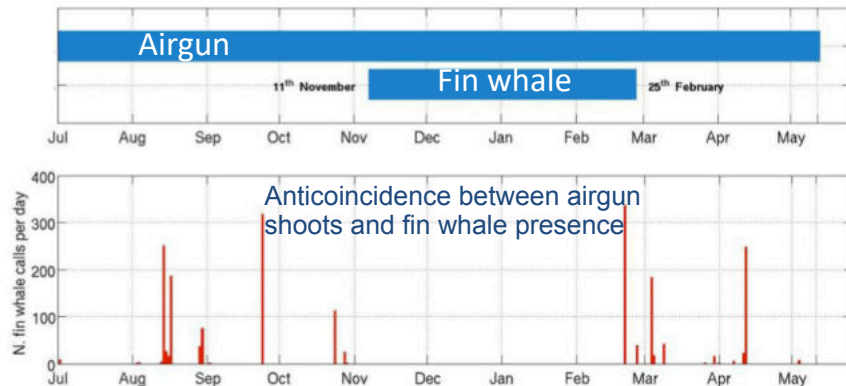


Italian Ministry of
the environment



Noise level increase: 10 dB

Identified source, offshore Greece !

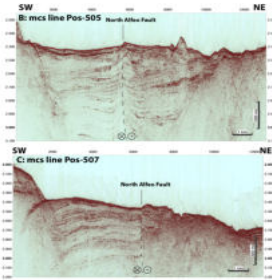
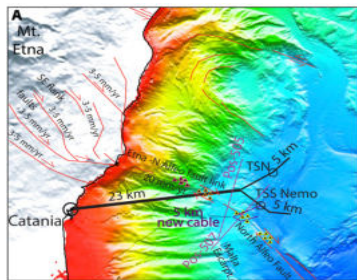


EMSO-SMO (INFN,INGV, CNR)

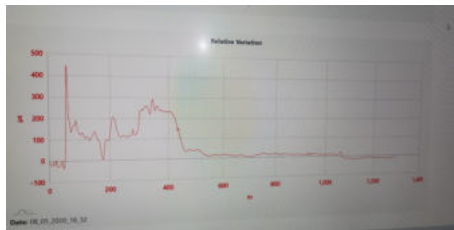
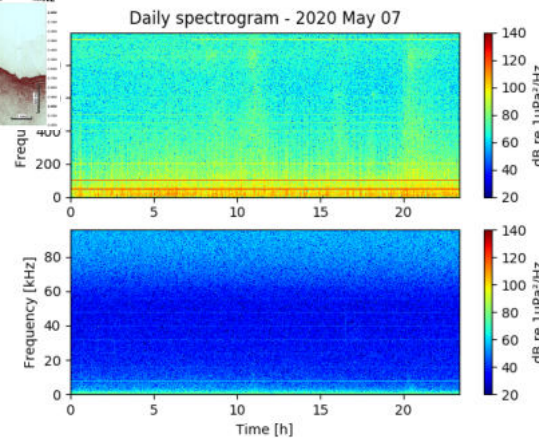
In 2019 Identified Airguns offshore Cyprus

Deployment of OBS and dedicated optical fiber (attached to TSS) to monitor:

- Slow geophysical events (e.g. slip along North Alfeo Fault / sliding of submarine flank of Mount Etna) via BOTDR analysis
- Fast geophysical events detection with IDAS



Univ. Brest, INFN, CNRS, IDIL
IFREMER, INGV, GFZ, INGV

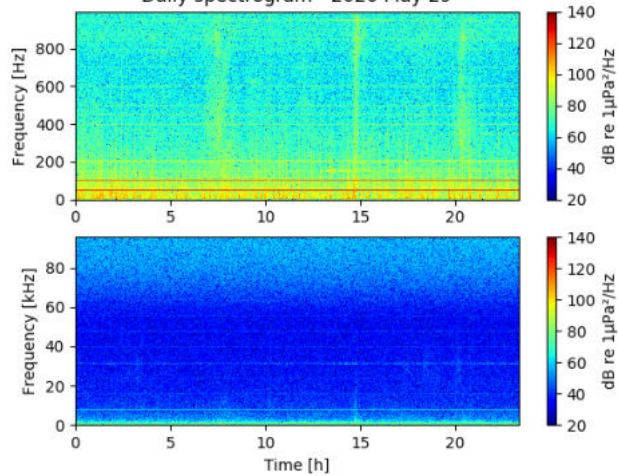


Work in progress

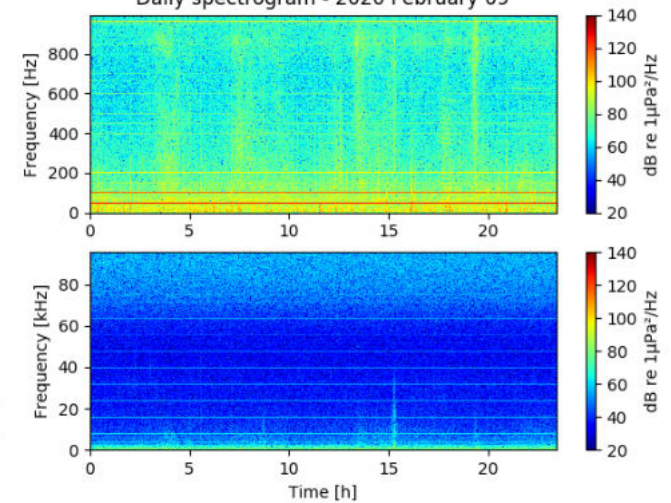


Contemporary detection of Etna Eruption May 6, 2020

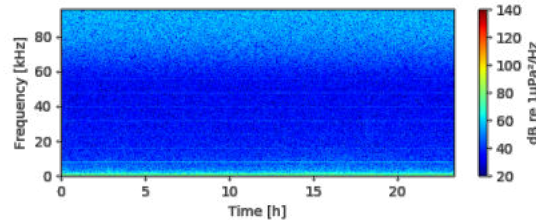
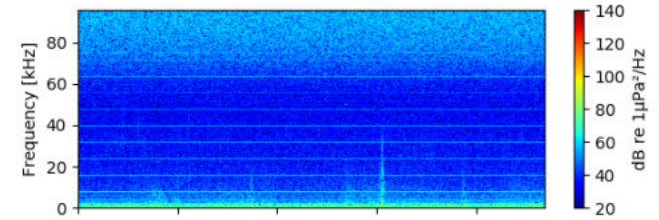
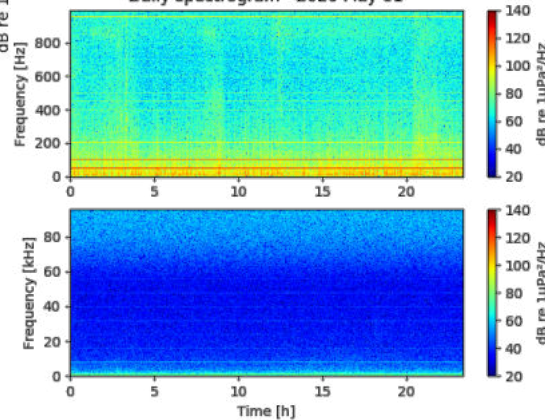
Daily spectrogram - 2020 May 29



Daily spectrogram - 2020 February 09



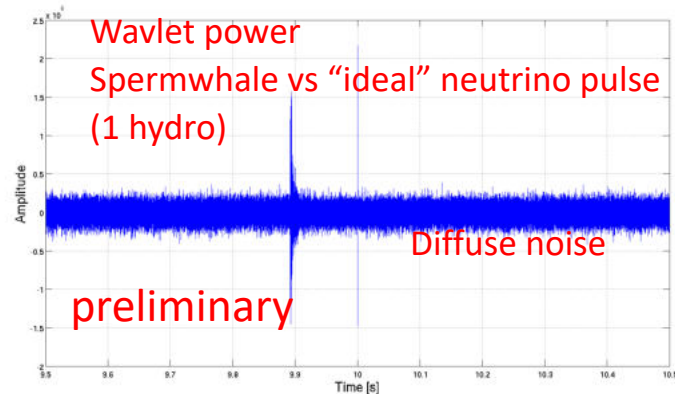
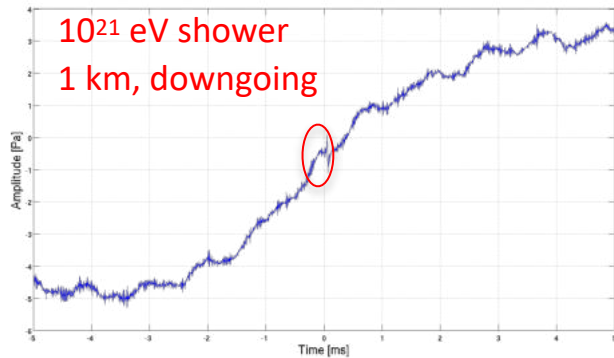
Daily spectrogram - 2020 May 01



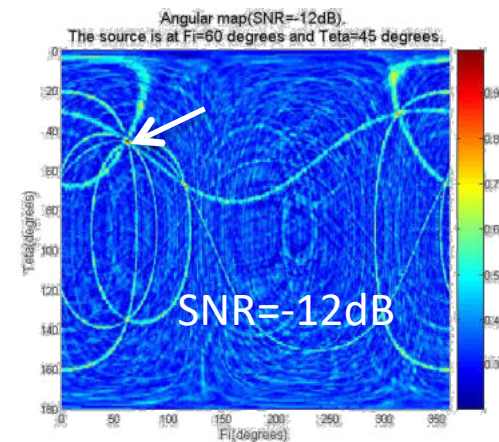
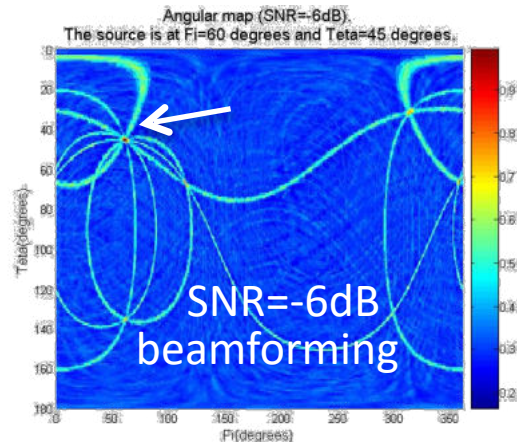
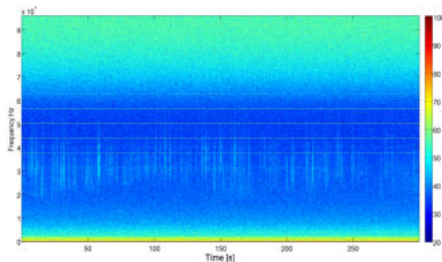
Typical approach: matched filters to identify the signal over background

Neutrino signals changes shape with angle and shower parameters!

Use wavelet (work in progress): no pre-filtering, real time, 10x SNR increase



(Quasi) Real-time beam-forming would increase SNR by a factor $\approx \sqrt{N_{\text{sensors}}}$

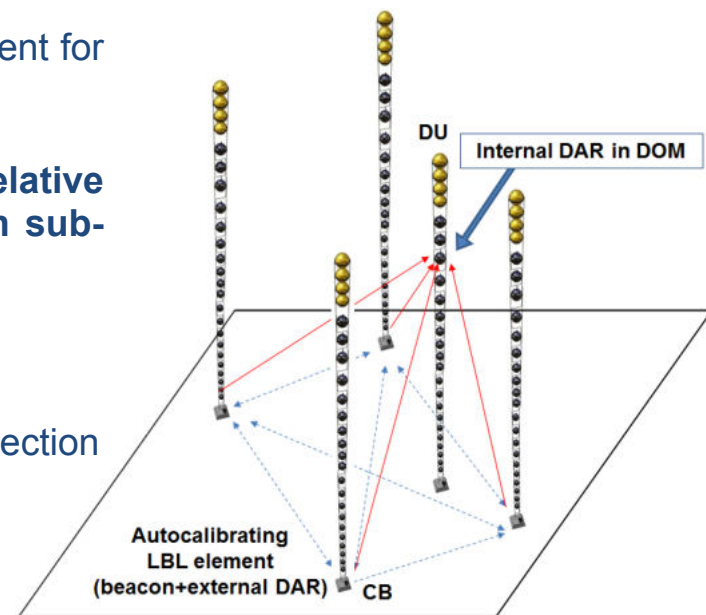


neutrino search analysis ongoing

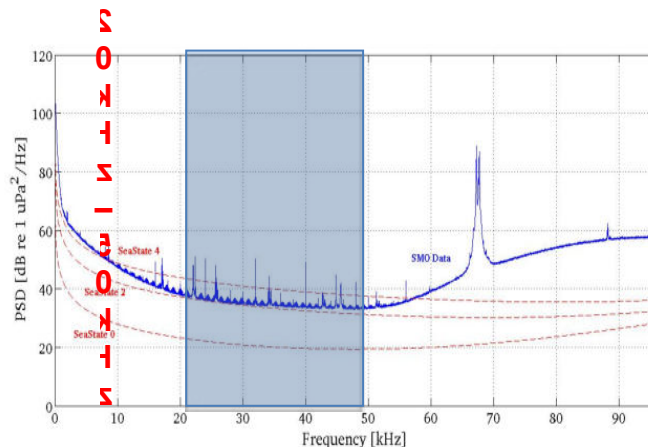
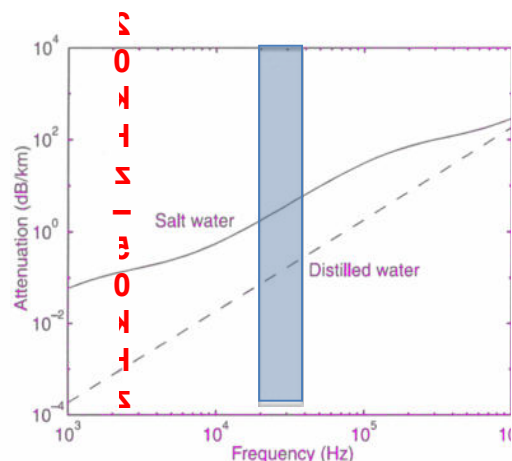
Continuous monitoring of the DOMs positions is a mandatory requirement for an accurate direction reconstruction of neutrino events

In KM3NeT the positions of the DOMs are recovered through a relative acoustic positioning system (RAPS) composed of three main sub-systems:

1. A Long Base-Line (LBL) of acoustic transmitters (beacons) and receivers, located at known positions
2. An array of digital acoustic receivers (DARs) installed along the detection units (DUs) of the telescope
3. A farm of PCs for the analysis of acoustic data



Acoustic emitter signals must be detected up to distances of 1 km



- Suitable frequency range:
20 kHz-50 kHz
- Lowest level of PSD:
~40 dB re 1 uPa²/Hz
- Attenuation:
1-10 dB/km

1 hydrophone per DU base and on Junction Boxes, 18 piezo acoustic receivers per DU

All acoustic sensors are digital receivers (192 kHz/24 bits) synchronized and in phase with GPS (<1 us)

Auto-calibrating Long Baseline of acoustic emitters and hydrophones

Synchronized array of acoustic emitters and receivers

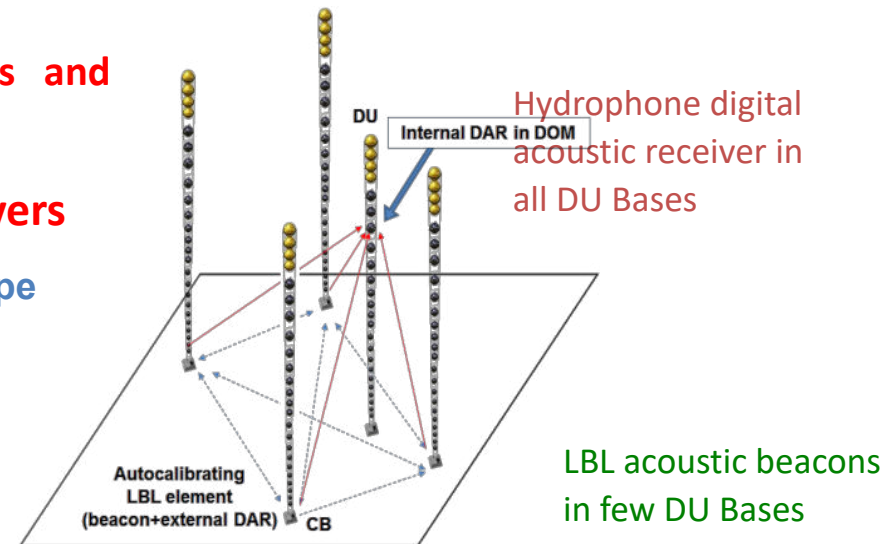
Main Goal: acoustic positioning system for the telescope
Long Baseline of acoustic emitters

All data to shore:

Access to Earth and Sea Science

Add-on:

Instrumentation line with CTDs, SVs and CMs



Long Baseline beacons

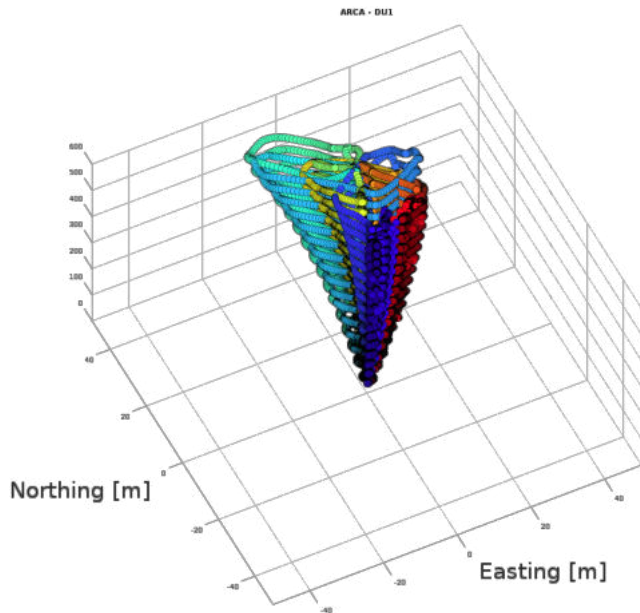


DU base digital hydrophone
Colmar DG330



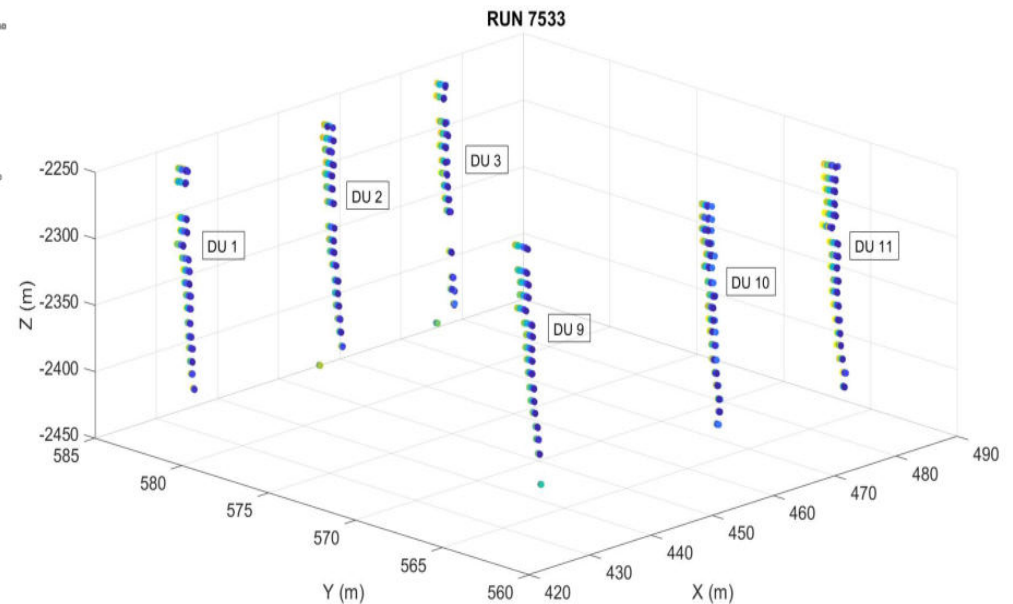
Piezo in DOM





DOM by DOM data analysis (no fit):
30 cm resolution (work in progress)

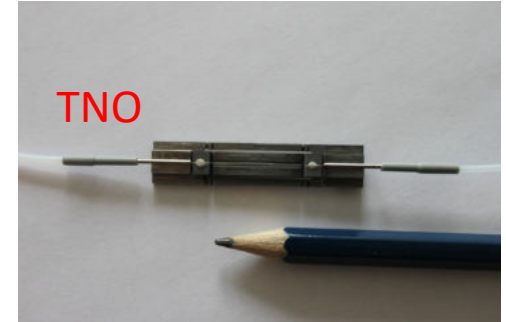
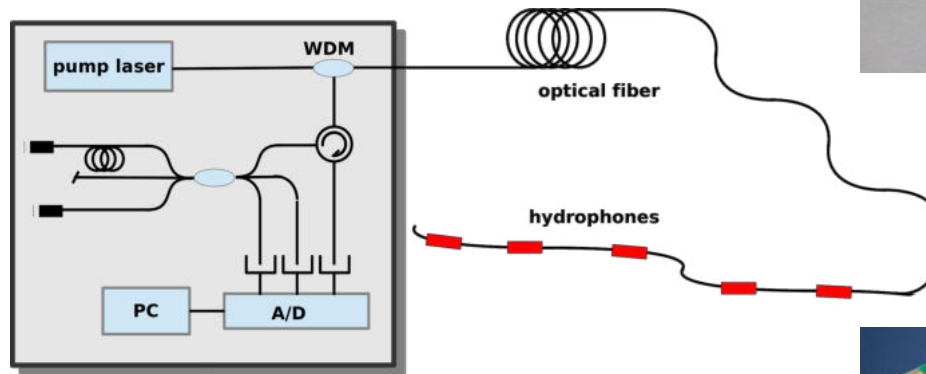
Time evolution of positions of a 750 m long DU under strong current (KM3NeT-ARCA)



Time evolution of positions of 6 DUs, 6 hours (KM3NeT ORCA)

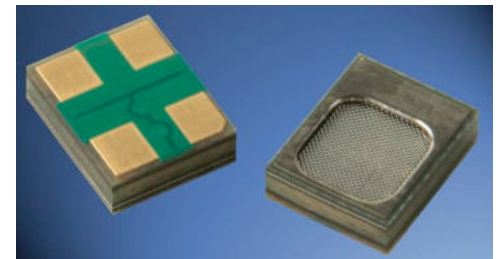
Fiber Optic hydrophones:

Strain on the fiber converts into peculiar interferometric pattern
 lab tests show SNR ratio factor 10 better than hydrophones
 cheap sensor but requires a dedicated fiber
 laser pump and inteferometer on shore
 Verical Dus subject to currents
 Ground array for horizontal neutrinos ?



MEMS hydrophones:

cheap sensor, commercial
 wave pressure and direction (integrated gyroscope)
 easy to build large matixes with readout and digitisation electronics (System on Chip)
 actual limits: noise, frequency band (≈ 10 kHz)



Technology: Well established piezoelectric sensors, new MEMS, fiber-optic

Costs: high (1 good sensor plus connectors amounts to 2500€)

BUT great opportunity to share technology/infrastructure for positioning and multidisciplinary science

Background noise: well characterised

Neutrino Signal Identification:

Faint bipolar pulse (several) BUT cylindrical sound emission: Topology (almost) unique.
At extreme energies down-going and (some) horizontal

Energy Reconstruction: need more simulation work (heat/sound conversion)

Direction Reconstruction: ray tracing, beamforming

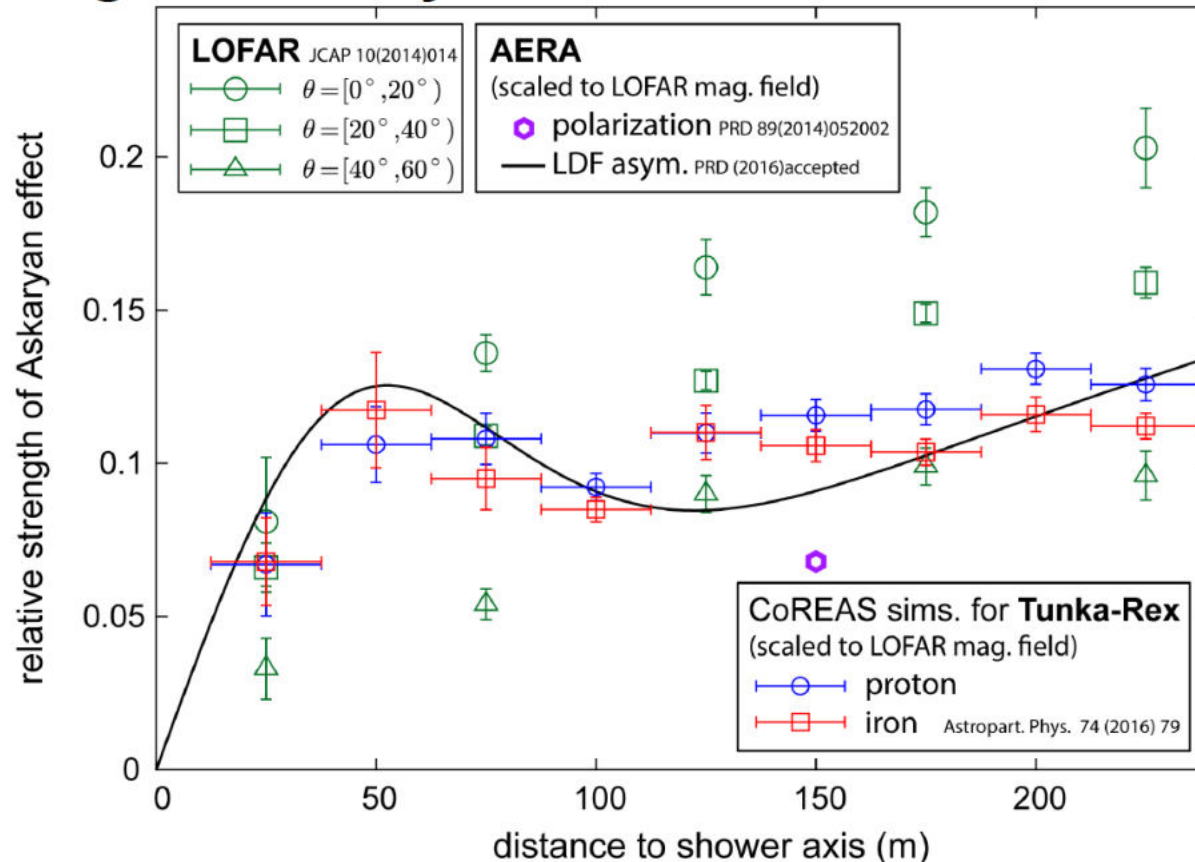
Threshold reduction: large arrays, direction pre-guess, opto/acoustic coincidences?

- The Physics Case
- Radio Detection
- Acoustic Detection
- Conclusions

- Radio detection technique is rapidly reaching maturity to allow neutrino detection* at extreme energies
- Acoustic detection is still in its infancy (few groups, limited resources) but is exploiting the needs of KM3NeT and Baikal GVD of acoustic sensors for positioning
- The need of huge detectors can be partially compensated by lowering the energy threshold:
 - Reduce sensors and frond end chain noise
 - Use digitally phased arrays

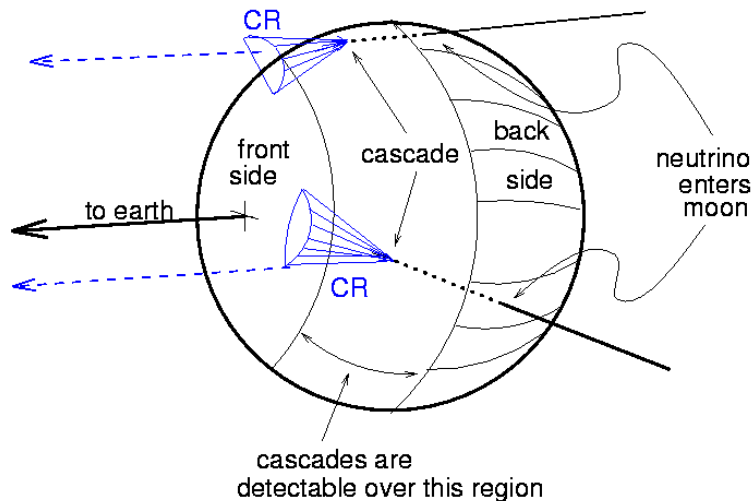
A plethora of Earth and Sea Science cases and technological applications is available

Relative strength of Askaryan effect

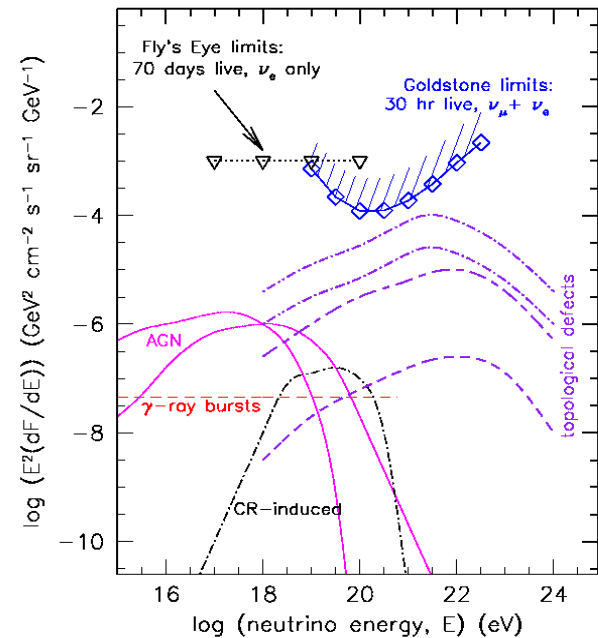


Radio detection from the moon

Lunar Regolith Interactions & RF Cherenkov radiation



- At ~ 100 EeV energies, neutrino interaction length in lunar material is ~ 60 km
- $R_{\text{moon}} \sim 1740$ km, so most detectable interactions are grazing rays, but detection not limited to just limb
- Refraction of Cherenkov cone at regolith surface “fills in” the pattern, so acceptance solid angle is ~ 50 times larger than apparent solid angle of moon



- GLUE-type experiments have huge effective volume \rightarrow can set useful limits in short time
- Large VHF array may have lower energy threshold, also higher duty cycle if phasing allows multiple source tracking

Ice considerations: Surface vs. deep antennas

- Near-surface antennas are easier to deploy, and more flexible (can use higher gain antennas, same antenna for all polarizations.)
- But top layer of ice ("firn") has density gradient \rightarrow index of refraction gradient so not all signals reach surface
- Deep antennas see more volume, but drilling adds to cost and antenna options limited by borehole size
- Another consequence of firn is existence of with multiple paths ("direct" and "refracted") which allow for more precise vertexing

